

Agenda  
City of Elk Run Heights  
Special Council Meeting  
January 19, 2021 - 6:00 p.m.  
Located at Eddis Winstead Council Chambers

1. Call to order
2. Pledge of Allegiance
3. Roll call
4. Approval of the January 19, 2021 Agenda
5. Request to enter into agreement with MSA Professional Services, Inc. for the engineering services for the Wastewater Treatment Plant Project in an amount not to exceed \$703,000.00; and authorization for Mayor to sign said agreement
6. FY22 Budget workshop
7. Adjournment

**AGREEMENT  
BETWEEN OWNER AND ENGINEER  
FOR  
PROFESSIONAL SERVICES**

Prepared by

**MSA PROFESSIONAL SERVICES, INC.**

for

**City of Elk Run Heights  
City of Raymond**

**Construction Phase services for  
Wastewater Treatment Facility Upgrade**



# TABLE OF CONTENTS

## Page

ARTICLE 1 – SERVICES OF ENGINEER .....	1
1.01 Scope of Project.....	1
1.02 Scope of Services.....	1
ARTICLE 2 – OWNER’S RESPONSIBILITIES.....	2
2.01 General.....	2
ARTICLE 3 – SCHEDULE FOR RENDERING SERVICES.....	2
3.01 Commencement.....	2
3.02 Time for Completion.....	2
ARTICLE 4 – INVOICES AND PAYMENTS.....	3
4.01 Invoices.....	3
4.02 Payments.....	3
ARTICLE 5 – OPINIONS OF COST.....	4
5.01 Opinions of Probable Construction Cost.....	4
5.02 Designing to Construction Cost Limit.....	4
5.03 Opinions of Total Project Costs.....	4
ARTICLE 6 – GENERAL CONSIDERATIONS.....	4
6.01 Standards of Performance.....	4
6.02 Design Without Construction Phase Services.....	6
6.03 Use of Documents.....	6
6.04 Insurance.....	7
6.05 Suspension and Termination.....	8
6.06 Controlling Law.....	9
6.07 Successors, Assigns, and Beneficiaries.....	9
6.08 Dispute Resolution.....	10
6.09 Environmental Condition of Site.....	10
6.10 Indemnification and Mutual Waiver.....	11
6.11 Miscellaneous Provisions.....	12
ARTICLE 7 – DEFINITIONS.....	12
7.01 Defined Terms.....	12
ARTICLE 8 – EXHIBITS AND SPECIAL PROVISIONS.....	16
8.01 Exhibits Included.....	16
8.02 Total Agreement.....	16
8.03 Designated Representatives.....	16
8.04 Engineer's Certifications.....	16

# AGREEMENT BETWEEN OWNER AND ENGINEER FOR PROFESSIONAL SERVICES

THIS IS AN AGREEMENT effective as of December 7, 2020 (“Effective Date”) between CITY OF ELK RUN HEIGHTS AND CITY OF RAYMOND (“OWNER”) and MSA Professional Services, Inc. (“ENGINEER”).

Owner’s Project, of which Engineer’s services under this Agreement are a part, is generally identified as follows:

Construction of updates to Wastewater Treatment Facility and Associated Improvements ("Project").

Engineer’s services under this Agreement are generally identified as follows:

Bidding, Construction and operational phase services associated with a new wastewater treatment facility and associated appurtenances as depicted within the approved wastewater treatment facility plan.

Owner and Engineer further agree as follows:

## ARTICLE 1 – SERVICES OF ENGINEER

### 1.01 *Scope of Project*

- A. The project for which the services shall be provided shall be for one (1) prime contract as defined within the approved construction documents for the following:
  - 1. Wastewater treatment facility, including:
    - a. Sequential Aeration Wastewater Treatment Facility: WWTF extended aeration plant with clarification, influent fine screening and grit removal, UV Disinfection, Equalization basin, garage and associated appurtenances. Sludge facilities will include sludge lagoon.
    - b. Building: The new plant includes the construction of a building that will house the laboratory and office facilities, which will include the SCADA controls, an office desk for the plant operator and flat files and filing cabinets for storage of construction drawings, operation & maintenance manuals and other information related to the wastewater and sewage collection system. Adjacent to the laboratory/office room will be a utility room, and also a separate restroom with a shower. The building will also house a climate-controlled garage for maintenance and storage; the headworks room which will include the fine screen and grit removal, sludge pumps, polymer feed equipment and chemicals; and the motor control center room which will

include all the motor starters, circuit breaker panels and equipment control panels and a standby generator.

- c. Access Roadway: No new access roads to the site are needed, however the existing access roadway shall be raised to alleviate flooding concerns.
- d. Site Preparation: A majority of the site will be excavated and disturbed as a result of construction of the new plant. A storm water management plan will also be needed once the final site plan layout is determined.
- e. Demolition of old wastewater treatment facility which encompasses an approximate 1 acre site: The proposed project also includes the demolition of the existing wastewater treatment facility which entails the removal and disposal of the existing structures. The structures and foundations will be completely removed or at a minimum removed at least five (5) below grade. The excavation below grade will be backfilled with compact material. The existing fence, driveways, sidewalks and other site improvements will be removed and then topsoil will be placed over all disturbed areas and seeded.

#### 1.02 *Scope of Services*

- A. Engineer shall provide, or cause to be provided, the services set forth herein and in Exhibit A.

### **ARTICLE 2 – OWNER’S RESPONSIBILITIES**

#### 2.01 *General*

- A. Owner shall have the responsibilities set forth herein and in Exhibit B.
- B. Owner shall pay Engineer as set forth in Exhibit C.
- C. Owner shall be responsible for, and Engineer may rely upon, the accuracy and completeness of all requirements, programs, instructions, reports, data, and other information furnished by Owner to Engineer pursuant to this Agreement. Engineer may use such requirements, programs, instructions, reports, data, and information in performing or furnishing services under this Agreement.

### **ARTICLE 3 – SCHEDULE FOR RENDERING SERVICES**

#### 3.01 *Commencement*

- A. Engineer is authorized to begin rendering services as of the Effective Date.

#### 3.02 *Time for Completion*

- A. Engineer shall complete its obligations within a reasonable time. Specific periods of time for rendering services are set forth or specific dates by which services are to be completed are provided in Exhibit A, and are hereby agreed to be reasonable.
- B. If, through no fault of Engineer, such periods of time or dates are changed, or the orderly and continuous progress of Engineer’s services is impaired, or Engineer’s services are delayed

or suspended, then the time for completion of Engineer's services, and the rates and amounts of Engineer's compensation, shall be adjusted equitably.

- C. If Owner authorizes changes in the scope, extent, or character of the Project, then the time for completion of Engineer's services, and the rates and amounts of Engineer's compensation, shall be adjusted equitably.
- D. Owner shall make decisions and carry out its other responsibilities in a timely manner so as not to delay the Engineer's performance of its services.
- E. If Engineer fails, through its own fault, to complete the performance required in this Agreement within the time set forth, as duly adjusted, then Owner shall be entitled, as its sole remedy, to the recovery of direct damages, if any, resulting from such failure.

## ARTICLE 4 – INVOICES AND PAYMENTS

### 4.01 *Invoices*

- A. *Preparation and Submittal of Invoices:* Engineer shall prepare invoices in accordance with its standard invoicing practices and the terms of Exhibit C. Engineer shall submit its invoices to Owner on a monthly basis. Invoices are due and payable within 30 days of receipt.

### 4.02 *Payments*

- A. *Application to Interest and Principal:* Payment will be credited first to any interest owed to Engineer and then to principal.
- B. *Failure to Pay:* If Owner fails to make any payment due Engineer for services and expenses within 30 days after receipt of Engineer's invoice, then:
  - 1. amounts due Engineer will be increased at the rate of 1.0% per month (or the maximum rate of interest permitted by law, if less) from said thirtieth day; and
  - 2. Engineer may, after giving seven days written notice to Owner, suspend services under this Agreement until Owner has paid in full all amounts due for services, expenses, and other related charges. Owner waives any and all claims against Engineer for any such suspension.
- C. *Disputed Invoices:* If Owner contests an invoice, Owner shall promptly advise Engineer of the specific basis for doing so, may withhold only that portion so contested, and must pay the undisputed portion.
- D. *Legislative Actions:* If after the Effective Date any governmental entity takes a legislative action that imposes taxes, fees, or charges on Engineer's services or compensation under this Agreement, then the Engineer may invoice such new taxes, fees, or charges as a Reimbursable Expense to which a factor of 1.0 shall be applied. Owner shall reimburse Engineer for the cost of such invoiced new taxes, fees, and charges; such reimbursement shall be in addition to the compensation to which Engineer is entitled under the terms of Exhibit C.

## ARTICLE 5 – OPINIONS OF COST

### 5.01 *Opinions of Probable Construction Cost*

- A. Engineer’s opinions of probable Construction Cost are to be made on the basis of Engineer’s experience and qualifications and represent Engineer’s best judgment as an experienced and qualified professional generally familiar with the construction industry. However, since Engineer has no control over the cost of labor, materials, equipment, or services furnished by others, or over contractors’ methods of determining prices, or over competitive bidding or market conditions, Engineer cannot and does not guarantee that proposals, bids, or actual Construction Cost will not vary from opinions of probable Construction Cost prepared by Engineer. If Owner requires greater assurance as to probable Construction Cost, Owner must employ an independent cost estimator as provided in Exhibit B.

### 5.02 *Designing to Construction Cost Limit*

- A. If a Construction Cost limit is established between Owner and Engineer, such Construction Cost limit and a statement of Engineer’s rights and responsibilities with respect thereto will be specifically set forth in Exhibit F, “Construction Cost Limit,” to this Agreement.

### 5.03 *Opinions of Total Project Costs*

- A. The services, if any, of Engineer with respect to Total Project Costs shall be limited to assisting the Owner in collating the various cost categories which comprise Total Project Costs. Engineer assumes no responsibility for the accuracy of any opinions of Total Project Costs.

## ARTICLE 6 – GENERAL CONSIDERATIONS

### 6.01 *Standards of Performance*

- A. *Standard of Care:* The standard of care for all professional engineering and related services performed or furnished by Engineer under this Agreement will be the care and skill ordinarily used by members of the subject profession practicing under similar circumstances at the same time and in the same locality. Engineer makes no warranties, express or implied, under this Agreement or otherwise, in connection with Engineer’s services.
- B. Technical Accuracy:
  - 1. Owner shall not be responsible for discovering deficiencies in the technical accuracy of Engineer’s services. Engineer shall correct deficiencies in technical accuracy without additional compensation, unless such corrective action is directly attributable to deficiencies in Owner-furnished information.
  - 2. If, due to engineer’s error in breach of the professional standard of care, pertaining to either omitted components or negligence in design, engineer’s liability shall be limited to the limit of liability defined further in this contract. It is intended by this provision that engineer will not be responsible for any cost or expense that provides betterment, upgrade, or enhancement of the project from its original design.

- C. *Consultants:* Engineer may employ such Consultants as Engineer deems necessary to assist in the performance or furnishing of the services, subject to reasonable, timely, and substantive objections by Owner.
- D. *Reliance on Others:* Subject to the standard of care set forth in Paragraph 6.01.A, Engineer and its Consultants may use or rely upon design elements and information ordinarily or customarily furnished by others, including, but not limited to, specialty contractors, manufacturers, suppliers, and the publishers of technical standards.
- E. Compliance with Laws and Regulations, and Policies and Procedures:
1. Engineer and Owner shall comply with applicable Laws and regulations.
  2. Prior to the Effective Date, Owner shall provide to Engineer in writing any and all policies and procedures of Owner applicable to Engineer's performance of services under this Agreement. Engineer shall comply with such policies and procedures, subject to the standard of care set forth in Paragraph 6.01.A, and to the extent compliance is not inconsistent with professional practice requirements.
  3. This Agreement is based on Laws and Regulations and Owner-provided written policies and procedures as of the Effective Date. Changes after the Effective Date to these Laws and Regulations, or to Owner-provided written policies and procedures, may be the basis for modifications to Owner's responsibilities or to Engineer's scope of services, times of performance, or compensation.
- F. Engineer shall not be required to sign any documents, no matter by whom requested, that would result in the Engineer having to certify, guarantee, or warrant the existence of conditions whose existence the Engineer cannot ascertain. Owner agrees not to make resolution of any dispute with the Engineer or payment of any amount due to the Engineer in any way contingent upon the Engineer signing any such documents.
- G. The general conditions for any construction contract documents prepared hereunder are to be the "Standard General Conditions of the Construction Contract" as prepared by the Engineers Joint Contract Documents Committee (EJCDC C-700, 2007 Edition) unless both parties mutually agree to use other general conditions by specific reference in Exhibit J.
- H. Engineer shall not at any time supervise, direct, control, or have authority over any contractor work, nor shall Engineer have authority over or be responsible for the means, methods, techniques, sequences, or procedures of construction selected or used by any contractor, or the safety precautions and programs incident thereto, for security or safety at the Site, nor for any failure of a contractor to comply with Laws and Regulations applicable to such contractor's furnishing and performing of its work.
- I. Engineer neither guarantees the performance of any Contractor nor assumes responsibility for any Contractor's failure to furnish and perform the Work in accordance with the Contract Documents.
- J. Engineer shall not provide or have any responsibility for surety bonding or insurance-related advice, recommendations, counseling, or research, or enforcement of construction insurance or surety bonding requirements.

- K. Engineer shall not be responsible for the acts or omissions of any Contractor, Subcontractor, or Supplier, or of any of their agents or employees or of any other persons (except Engineer's own agents, employees, and Consultants) at the Site or otherwise furnishing or performing any Work; or for any decision made regarding the Contract Documents, or any application, interpretation, or clarification, of the Contract Documents, other than those made by Engineer.
- L. While at the Site, Engineer's employees and representatives shall comply with the specific applicable requirements of Contractor's and Owner's safety programs of which Engineer has been informed in writing.

#### 6.02 *Design Without Construction Phase Services*

- A. Engineer shall be responsible only for those Construction Phase services expressly required of Engineer in Exhibit A, Paragraph A1.05. With the exception of such expressly required services, Engineer shall have no design, Shop Drawing review, or other obligations during construction and Owner assumes all responsibility for the application and interpretation of the Contract Documents, review and response to Contractor claims, contract administration, processing Change Orders, revisions to the Contract Documents during construction, construction surety bonding and insurance requirements, construction observation and review, review of payment applications, and all other necessary Construction Phase engineering and professional services.

#### 6.03 *Use of Documents*

- A. All Documents are instruments of service in respect to this Project, and Engineer shall retain an ownership and property interest therein (including the copyright and the right of reuse at the discretion of the Engineer) whether or not the Project is completed. Owner shall not rely in any way on any Document unless it is in printed form, signed or sealed by the Engineer or one of its Consultants.
- B. Either party to this Agreement may rely that data or information set forth on paper (also known as hard copies) that the party receives from the other party by mail, hand delivery, or facsimile, are the items that the other party intended to send. Files in electronic media format of text, data, graphics, or other types that are furnished by one party to the other are furnished only for convenience, not reliance by the receiving party. Any conclusion or information obtained or derived from such electronic files will be at the user's sole risk. If there is a discrepancy between the electronic files and the hard copies, the hard copies govern. If the parties agree to other electronic transmittal procedures, such are set forth in Exhibit J.
- C. Because data stored in electronic media format can deteriorate or be modified inadvertently or otherwise without authorization of the data's creator, the party receiving electronic files agrees that it will perform acceptance tests or procedures within 60 days, after which the receiving party shall be deemed to have accepted the data thus transferred. Any transmittal errors detected within the 60-day acceptance period will be corrected by the party delivering the electronic files.
- D. When transferring documents in electronic media format, the transferring party makes no representations as to long-term compatibility, usability, or readability of such documents

resulting from the use of software application packages, operating systems, or computer hardware differing from those used by the documents' creator.

- E. Owner may make and retain copies of Documents for information and reference in connection with use on the Project by Owner. Engineer grants Owner a limited license to use the Documents on the Project, extensions of the Project, and for related uses of the Owner, subject to receipt by Engineer of full payment for all services relating to preparation of the Documents and subject to the following limitations: (1) Owner acknowledges that such Documents are not intended or represented to be suitable for use on the Project unless completed by Engineer, or for use or reuse by Owner or others on extensions of the Project, on any other project, or for any other use or purpose, without written verification or adaptation by Engineer; (2) any such use or reuse, or any modification of the Documents, without written verification, completion, or adaptation by Engineer, as appropriate for the specific purpose intended, will be at Owner's sole risk and without liability or legal exposure to Engineer or to its officers, directors, members, partners, agents, employees, and Consultants; (3) Owner shall indemnify and hold harmless Engineer and its officers, directors, members, partners, agents, employees, and Consultants from all claims, damages, losses, and expenses, including attorneys' fees, arising out of or resulting from any use, reuse, or modification of the Documents without written verification, completion, or adaptation by Engineer; and (4) such limited license to Owner shall not create any rights in third parties.
- F. If Engineer at Owner's request verifies the suitability of the Documents, completes them, or adapts them for extensions of the Project or for any other purpose, then Owner shall compensate Engineer at rates or in an amount to be agreed upon by Owner and Engineer.

#### 6.04 *Insurance*

- A. Engineer shall procure and maintain insurance as set forth in Exhibit G, "Insurance." Engineer shall cause Owner to be listed as an additional insured on any applicable general liability insurance policy carried by Engineer.
- B. Owner shall procure and maintain insurance as set forth in Exhibit G, "Insurance." Owner shall cause Engineer and its Consultants to be listed as additional insureds on any general liability policies and as loss payees on any property insurance policies carried by Owner which are applicable to the Project.
- C. Owner shall require Contractor to purchase and maintain policies of insurance covering workers' compensation, general liability, property damage (other than to the Work itself), motor vehicle damage and injuries, and other insurance necessary to protect Owner's and Engineer's interests in the Project. Owner shall require Contractor to cause Engineer and its Consultants to be listed as additional insureds with respect to such liability and other insurance purchased and maintained by Contractor for the Project.
- D. Owner and Engineer shall each deliver to the other certificates of insurance evidencing the coverages indicated in Exhibit G. Such certificates shall be furnished prior to commencement of Engineer's services and at renewals thereafter during the life of the Agreement.
- E. All policies of property insurance relating to the Project shall contain provisions to the effect that Engineer's and its Consultants' interests are covered and that in the event of payment of

any loss or damage the insurers will have no rights of recovery against Engineer or its Consultants, or any insureds, additional insureds, or loss payees thereunder.

- F. All policies of insurance shall contain a provision or endorsement that the coverage afforded will not be canceled or reduced in limits by endorsement, and that renewal will not be refused, until at least 30 days prior written notice has been given to Owner and Engineer and to each other additional insured (if any) to which a certificate of insurance has been issued.
- G. At any time, Owner may request that Engineer or its Consultants, at Owner's sole expense, provide additional insurance coverage, increased limits, or revised deductibles that are more protective than those specified in Exhibit G. If so requested by Owner, and if commercially available, Engineer shall obtain and shall require its Consultants to obtain such additional insurance coverage, different limits, or revised deductibles for such periods of time as requested by Owner, and Exhibit G will be supplemented to incorporate these requirements.

#### 6.05 *Suspension and Termination*

- A. Suspension:
  - 1. By Owner: Owner may suspend the Project for up to 90 days upon seven days written notice to Engineer.
  - 2. By Engineer: Engineer may, after giving seven days written notice to Owner, suspend services under this Agreement if Engineer's performance has been substantially delayed through no fault of Engineer.
- B. *Termination*: The obligation to provide further services under this Agreement may be terminated:
  - 1. For cause,
    - a. By either party upon 30 days written notice in the event of substantial failure by the other party to perform in accordance with the terms hereof through no fault of the terminating party.
    - b. By Engineer:
      - 1) upon seven days written notice if Owner demands that Engineer furnish or perform services contrary to Engineer's responsibilities as a licensed professional; or
      - 2) upon seven days written notice if the Engineer's services for the Project are delayed or suspended for more than 90 days for reasons beyond Engineer's control.
      - 3) Engineer shall have no liability to Owner on account of such termination.
    - c. Notwithstanding the foregoing, this Agreement will not terminate under Paragraph 6.05.B.1.a if the party receiving such notice begins, within seven days of receipt of such notice, to correct its substantial failure to perform and proceeds diligently to cure such failure within no more than 30 days of receipt thereof;

provided, however, that if and to the extent such substantial failure cannot be reasonably cured within such 30 day period, and if such party has diligently attempted to cure the same and thereafter continues diligently to cure the same, then the cure period provided for herein shall extend up to, but in no case more than, 60 days after the date of receipt of the notice.

2. For convenience,
  - a. By Owner effective upon Engineer's receipt of notice from Owner.
- C. *Effective Date of Termination:* The terminating party under Paragraph 6.05.B may set the effective date of termination at a time up to 30 days later than otherwise provided to allow Engineer to demobilize personnel and equipment from the Site, to complete tasks whose value would otherwise be lost, to prepare notes as to the status of completed and uncompleted tasks, and to assemble Project materials in orderly files.
- D. *Payments Upon Termination:*
  1. In the event of any termination under Paragraph 6.05, Engineer will be entitled to invoice Owner and to receive full payment for all services performed or furnished in accordance with this Agreement and all Reimbursable Expenses incurred through the effective date of termination. Upon making such payment, Owner shall have the limited right to the use of Documents, at Owner's sole risk, subject to the provisions of Paragraph 6.03.E.
  2. In the event of termination by Owner for convenience or by Engineer for cause, Engineer shall be entitled, in addition to invoicing for those items identified in Paragraph 6.05.D.1, to invoice Owner and to payment of a reasonable amount for services and expenses directly attributable to termination, both before and after the effective date of termination, such as reassignment of personnel, costs of terminating contracts with Engineer's Consultants, and other related close-out costs, using methods and rates for Additional Services as set forth in Exhibit C.

#### 6.06 *Controlling Law*

- A. This Agreement is to be governed by the law of the state or jurisdiction in which the Project is located.

#### 6.07 *Successors, Assigns, and Beneficiaries*

- A. Owner and Engineer are hereby bound and the successors, executors, administrators, and legal representatives of Owner and Engineer (and to the extent permitted by Paragraph 6.07.B the assigns of Owner and Engineer) are hereby bound to the other party to this Agreement and to the successors, executors, administrators and legal representatives (and said assigns) of such other party, in respect of all covenants, agreements, and obligations of this Agreement.
- B. Neither Owner nor Engineer may assign, sublet, or transfer any rights under or interest (including, but without limitation, moneys that are due or may become due) in this Agreement without the written consent of the other, except to the extent that any assignment, subletting, or transfer is mandated or restricted by law. Unless specifically stated to the

contrary in any written consent to an assignment, no assignment will release or discharge the assignor from any duty or responsibility under this Agreement.

C. Unless expressly provided otherwise in this Agreement:

1. Nothing in this Agreement shall be construed to create, impose, or give rise to any duty owed by Owner or Engineer to any Contractor, Subcontractor, Supplier, other individual or entity, or to any surety for or employee of any of them.
2. All duties and responsibilities undertaken pursuant to this Agreement will be for the sole and exclusive benefit of Owner and Engineer and not for the benefit of any other party.
3. Owner agrees that the substance of the provisions of this Paragraph 6.07.C shall appear in the Contract Documents.

#### 6.08 *Dispute Resolution*

- A. Owner and Engineer agree to negotiate all disputes between them in good faith for a period of 30 days from the date of notice prior to invoking the procedures of Exhibit H or other provisions of this Agreement, or exercising their rights under law.
- B. If the parties fail to resolve a dispute through negotiation under Paragraph 6.08.A, then either or both may invoke the procedures of Exhibit H. If Exhibit H is not included, or if no dispute resolution method is specified in Exhibit H, then the parties may exercise their rights under law.

#### 6.09 *Environmental Condition of Site*

- A. Owner has disclosed to Engineer in writing the existence of all known and suspected Asbestos, PCBs, Petroleum, Hazardous Waste, Radioactive Material, hazardous substances, and other Constituents of Concern located at or near the Site, including type, quantity, and location.
- B. Owner represents to Engineer that to the best of its knowledge no Constituents of Concern, other than those disclosed in writing to Engineer, exist at the Site.
- C. If Engineer encounters or learns of an undisclosed Constituent of Concern at the Site, then Engineer shall notify (1) Owner and (2) appropriate governmental officials if Engineer reasonably concludes that doing so is required by applicable Laws or Regulations.
- D. It is acknowledged by both parties that Engineer's scope of services does not include any services related to Constituents of Concern. If Engineer or any other party encounters an undisclosed Constituent of Concern, or if investigative or remedial action, or other professional services, are necessary with respect to disclosed or undisclosed Constituents of Concern, then Engineer may, at its option and without liability for consequential or any other damages, suspend performance of services on the portion of the Project affected thereby until Owner: (1) retains appropriate specialist consultants or contractors to identify and, as appropriate, abate, remediate, or remove the Constituents of Concern; and (2) warrants that the Site is in full compliance with applicable Laws and Regulations.

- E. If the presence at the Site of undisclosed Constituents of Concern adversely affects the performance of Engineer's services under this Agreement, then the Engineer shall have the option of (1) accepting an equitable adjustment in its compensation or in the time of completion, or both; or (2) terminating this Agreement for cause on 30 days notice.
- F. Owner acknowledges that Engineer is performing professional services for Owner and that Engineer is not and shall not be required to become an "owner" "arranger," "operator," "generator," or "transporter" of hazardous substances, as defined in the Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), as amended, which are or may be encountered at or near the Site in connection with Engineer's activities under this Agreement.

#### 6.10 *Indemnification and Mutual Waiver*

- A. *Indemnification by Engineer:* To the fullest extent permitted by law, ENGINEER shall indemnify and hold harmless OWNER, and OWNER's officers, directors, members, partners, agents, consultants, and employees (hereinafter "OWNER") from reasonable claims, costs, losses and damages arising out of or relating to the PROJECT, provided that any such claim, cost, loss, or damage is attributable to bodily injury, sickness, disease, or death, or to injury to or destruction of tangible property (other than the Work itself) including the loss of use resulting therefrom but only to the extent caused by any negligent act or omission of ENGINEER or ENGINEER's officers, directors, members, partners, agents, employees, or Consultant (hereinafter "ENGINEER"). In no event shall this indemnity agreement apply to claims between the OWNER and the ENGINEER. This indemnity agreement applies solely to claims of third parties. Furthermore, in no event shall this indemnity agreement apply to claims that the ENGINEER is responsible for attorneys' fees. This agreement does not give rise to any duty on the part of the ENGINEER to defend the OWNER on any claim arising under this agreement.

**This indemnification provision is subject to and limited by the provisions, if any, agreed to by Owner and Engineer in Exhibit I, "Limitations of Liability."**

- B. *Indemnification by Owner:* To the fullest extent permitted by law, OWNER shall indemnify and hold harmless ENGINEER, and ENGINEER's officers, directors, members, partners, agents, consultants, and employees (hereinafter "ENGINEER") from reasonable claims, costs, losses and damages arising out of or relating to the PROJECT, provided that any such claim, cost, loss, or damage is attributable to bodily injury, sickness, disease, or death, or to injury to or destruction of tangible property (other than the Work itself) including the loss of use resulting therefrom but only to the extent caused by any negligent act or omission of OWNER or OWNER's officers, directors, members, partners, agents, employees, or Consultant (hereinafter "OWNER"). In no event shall this indemnity agreement apply to claims between the ENGINEER and the OWNER. This indemnity agreement applies solely to claims of third parties. Furthermore, in no event shall this indemnity agreement apply to claims that the OWNER is responsible for attorneys' fees. This agreement does not give rise to any duty on the part of the OWNER to defend the ENGINEER on any claim arising under this agreement.
- C. *Environmental Indemnification:* In addition to the indemnity provided under paragraph above of this Agreement, and to the fullest extent permitted by law, OWNER shall indemnify

and hold harmless ENGINEER and its officers, directors, partners, employees, and agents and ENGINEER's Consultants from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys and other professionals and all court or arbitration or other dispute resolution costs) caused by, arising out of or relating to the presence, discharge, release, or escape of Asbestos, PCBs, Petroleum, Hazardous Waste, or Radioactive Material at, on, under or from the Project site.

- D. *Percentage Share of Negligence:* To the fullest extent permitted by law, a party's total liability to the other party and anyone claiming by, through, or under the other party for any cost, loss, or damages caused in part by the negligence of the party and in part by the negligence of the other party or any other negligent entity or individual, shall not exceed the percentage share that the party's negligence bears to the total negligence of Owner, Engineer, and all other negligent entities and individuals.
- E. ~~*Mutual Waiver:* To the fullest extent permitted by law, Owner and Engineer waive against each other, and the other's employees, officers, directors, members, agents, insurers, partners, and consultants, any and all claims for or entitlement to special, incidental or indirect damages arising out of, resulting from, or in any way related to the Project.~~

#### 6.11 *Miscellaneous Provisions*

- A. *Notices:* Any notice required under this Agreement will be in writing, addressed to the appropriate party at its address on the signature page and given personally, by facsimile, by registered or certified mail postage prepaid, or by a commercial courier service. All notices shall be effective upon the date of receipt.
- B. *Survival:* All express representations, waivers, indemnifications, and limitations of liability included in this Agreement will survive its completion or termination for any reason.
- C. *Severability:* Any provision or part of the Agreement held to be void or unenforceable under any Laws or Regulations shall be deemed stricken, and all remaining provisions shall continue to be valid and binding upon Owner and Engineer, which agree that the Agreement shall be reformed to replace such stricken provision or part thereof with a valid and enforceable provision that comes as close as possible to expressing the intention of the stricken provision.
- D. *Waiver:* A party's non-enforcement of any provision shall not constitute a waiver of that provision, nor shall it affect the enforceability of that provision or of the remainder of this Agreement.
- E. *Accrual of Claims:* To the fullest extent permitted by law, all causes of action arising under this Agreement shall be deemed to have accrued, and all statutory periods of limitation shall commence, no later than the date of Substantial Completion.

### **ARTICLE 7 – DEFINITIONS**

#### 7.01 *Defined Terms*

- A. Wherever used in this Agreement (including the Exhibits hereto) terms (including the singular and plural forms) printed with initial capital letters have the meanings indicated in the text above, in the exhibits, or in the following provisions:

1. *Additional Services* – The services to be performed for or furnished to Owner by Engineer in accordance with Part 2 of Exhibit A of this Agreement.
2. *Agreement* – This written contract for professional services between Owner and Engineer, including all exhibits identified in Paragraph 8.01 and any duly executed amendments.
3. *Asbestos* – Any material that contains more than one percent asbestos and is friable or is releasing asbestos fibers into the air above current action levels established by the United States Occupational Safety and Health Administration.
4. *Basic Services* – The services to be performed for or furnished to Owner by Engineer in accordance with Part 1 of Exhibit A of this Agreement.
5. *Construction Contract* – The entire and integrated written agreement between Owner and Contractor concerning the Work.
6. *Construction Cost* – The cost to Owner of those portions of the entire Project designed or specified by Engineer. Construction Cost does not include costs of services of Engineer or other design professionals and consultants; cost of land or rights-of-way, or compensation for damages to properties; Owner’s costs for legal, accounting, insurance counseling or auditing services; interest or financing charges incurred in connection with the Project; or the cost of other services to be provided by others to Owner pursuant to Exhibit B of this Agreement. Construction Cost is one of the items comprising Total Project Costs.
7. *Constituent of Concern* – Any substance, product, waste, or other material of any nature whatsoever (including, but not limited to, Asbestos, Petroleum, Radioactive Material, and PCBs) which is or becomes listed, regulated, or addressed pursuant to (a) the Comprehensive Environmental Response, Compensation and Liability Act, 42 U.S.C. §§9601 et seq. (“CERCLA”); (b) the Hazardous Materials Transportation Act, 49 U.S.C. §§1801 et seq.; (c) the Resource Conservation and Recovery Act, 42 U.S.C. §§6901 et seq. (“RCRA”); (d) the Toxic Substances Control Act, 15 U.S.C. §§2601 et seq.; (e) the Clean Water Act, 33 U.S.C. §§1251 et seq.; (f) the Clean Air Act, 42 U.S.C. §§7401 et seq.; and (g) any other federal, state, or local statute, law, rule, regulation, ordinance, resolution, code, order, or decree regulating, relating to, or imposing liability or standards of conduct concerning, any hazardous, toxic, or dangerous waste, substance, or material.
8. *Consultants* – Individuals or entities having a contract with Engineer to furnish services with respect to this Project as Engineer’s independent professional associates and consultants; subcontractors; or vendors.
9. *Contract Documents* – Those items so designated in the Construction Contract, including the Drawings, Specifications, construction agreement, and general and supplementary conditions. Only printed or hard copies of the items listed in the Construction Contract are Contract Documents. Approved Shop Drawings, other Contractor submittals, and the reports and drawings of subsurface and physical conditions are not Contract Documents.

10. *Contractor* – The entity or individual with which Owner has entered into a Construction Contract.
11. *Documents* – Data, reports, Drawings, Specifications, Record Drawings, and other deliverables, whether in printed or electronic media format, provided or furnished in appropriate phases by Engineer to Owner pursuant to this Agreement.
12. *Drawings* – That part of the Contract Documents prepared or approved by Engineer which graphically shows the scope, extent, and character of the Work to be performed by Contractor. Shop Drawings are not Drawings as so defined.
13. *Effective Date* – The date indicated in this Agreement on which it becomes effective, but if no such date is indicated, the date on which this Agreement is signed and delivered by the last of the parties to sign and deliver.
14. *Engineer* – The individual or entity named as such in this Agreement.
15. *Hazardous Waste* – The term Hazardous Waste shall have the meaning provided in Section 1004 of the Solid Waste Disposal Act (42 USC Section 6903) as amended from time to time.
16. *Laws and Regulations; Laws or Regulations* – Any and all applicable laws, rules, regulations, ordinances, codes, and orders of any and all governmental bodies, agencies, authorities, and courts having jurisdiction.
17. *Owner* – The individual or entity with which Engineer has entered into this Agreement and for which the Engineer's services are to be performed. Unless indicated otherwise, this is the same individual or entity that will enter into any Construction Contracts concerning the Project.
18. *PCBs* – Polychlorinated biphenyls.
19. *Petroleum* – Petroleum, including crude oil or any fraction thereof which is liquid at standard conditions of temperature and pressure (60 degrees Fahrenheit and 14.7 pounds per square inch absolute), such as oil, petroleum, fuel oil, oil sludge, oil refuse, gasoline, kerosene, and oil mixed with other non-hazardous waste and crude oils.
20. *Project* – The total construction of which the Work to be performed under the Contract Documents may be the whole, or a part.
21. *Radioactive Material* – Source, special nuclear, or byproduct material as defined by the Atomic Energy Act of 1954 (42 USC Section 2011 et seq.) as amended from time to time.
22. *Record Drawings* – Drawings depicting the completed Project, prepared by Engineer as an Additional Service and based solely on Contractor's record copy of all Drawings, Specifications, addenda, change orders, work change directives, field orders, and written interpretations and clarifications, as delivered to Engineer and annotated by Contractor to show changes made during construction.

23. *Reimbursable Expenses* – The expenses incurred directly by Engineer in connection with the performing or furnishing of Basic and Additional Services for the Project.
24. *Resident Project Representative* – The authorized representative of Engineer assigned to assist Engineer at the Site during the Construction Phase. As used herein, the term Resident Project Representative or "RPR" includes any assistants or field staff of Resident Project Representative agreed to by Owner. The duties and responsibilities of the Resident Project Representative, if any, are as set forth in Exhibit D.
25. *Samples* – Physical examples of materials, equipment, or workmanship that are representative of some portion of the Work and which establish the standards by which such portion of the Work will be judged.
26. *Shop Drawings* – All drawings, diagrams, illustrations, schedules, and other data or information which are specifically prepared or assembled by or for Contractor and submitted by Contractor to illustrate some portion of the Work.
27. *Site* – Lands or areas to be indicated in the Contract Documents as being furnished by Owner upon which the Work is to be performed, including rights-of-way and easements for access thereto, and such other lands furnished by Owner which are designated for the use of Contractor.
28. *Specifications* – That part of the Contract Documents consisting of written technical descriptions of materials, equipment, systems, standards, and workmanship as applied to the Work and certain administrative details applicable thereto.
29. *Subcontractor* – An individual or entity having a direct contract with Contractor or with any other Subcontractor for the performance of a part of the Work at the Site.
30. *Substantial Completion* – The time at which the Work (or a specified part thereof) has progressed to the point where, in the opinion of Engineer, the Work (or a specified part thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Work (or a specified part thereof) can be utilized for the purposes for which it is intended. The terms “substantially complete” and “substantially completed” as applied to all or part of the Work refer to Substantial Completion thereof.
31. *Supplier* – A manufacturer, fabricator, supplier, distributor, materialman, or vendor having a direct contract with Contractor or with any Subcontractor to furnish materials or equipment to be incorporated in the Work by Contractor or Subcontractor.
32. *Total Project Costs* – The sum of the Construction Cost, allowances for contingencies, and the total costs of services of Engineer or other design professionals and consultants, together with such other Project-related costs that Owner furnishes for inclusion, including but not limited to cost of land, rights-of-way, compensation for damages to properties, Owner’s costs for legal, accounting, insurance counseling and auditing services, interest and financing charges incurred in connection with the Project, and the cost of other services to be provided by others to Owner pursuant to Exhibit B of this Agreement.
33. *Work* – The entire construction or the various separately identifiable parts thereof required to be provided under the Contract Documents. Work includes and is the result

of performing or providing all labor, services, and documentation necessary to produce such construction, and furnishing, installing, and incorporating all materials and equipment into such construction, all as required by the Contract Documents.

## **ARTICLE 8 – EXHIBITS AND SPECIAL PROVISIONS**

### 8.01 *Exhibits Included:*

- A. Exhibit A, Engineer's Services.
- B. Exhibit B, Owner's Responsibilities.
- C. Exhibit C, Payments to Engineer for Services and Reimbursable Expenses.
- D. Exhibit D, Duties, Responsibilities and Limitations of Authority of Resident Project Representative.
- E. Exhibit E, Notice of Acceptability of Work.
- F. ~~Exhibit F, Construction Cost Limit.~~
- G. Exhibit G, Insurance.
- H. Exhibit H, Dispute Resolution.
- I. Exhibit I, Limitations of Liability.
- J. Exhibit J, Special Provisions.
- K. Exhibit K, Amendment to Owner-Engineer Agreement.

### 8.02 *Total Agreement:*

- A. This Agreement, (together with the exhibits identified above) constitutes the entire agreement between Owner and Engineer and supersedes all prior written or oral understandings. This Agreement may only be amended, supplemented, modified, or canceled by a duly executed written instrument based on the format of Exhibit K to this Agreement.

### 8.03 *Designated Representatives:*

- A. With the execution of this Agreement, Engineer and Owner shall designate specific individuals to act as Engineer's and Owner's representatives with respect to the services to be performed or furnished by Engineer and responsibilities of Owner under this Agreement. Such an individual shall have authority to transmit instructions, receive information, and render decisions relative to the Project on behalf of the respective party whom the individual represents.

### 8.04 *Engineer's Certifications:*

- A. Engineer certifies that it has not engaged in corrupt, fraudulent, or coercive practices in competing for or in executing the Agreement. For the purposes of this Paragraph 8.04:

1. "corrupt practice" means the offering, giving, receiving, or soliciting of any thing of value likely to influence the action of a public official in the selection process or in the Agreement execution;
2. "fraudulent practice" means an intentional misrepresentation of facts made (a) to influence the selection process or the execution of the Agreement to the detriment of Owner, or (b) to deprive Owner of the benefits of free and open competition;
3. "coercive practice" means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the selection process or affect the execution of the Agreement.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement, the Effective Date of which is indicated on page 1.

OWNER:  
**City of Elk Run Heights**

ENGINEER:  
**MSA PROFESSIONAL SERVICES, INC.**



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Kristi Lundy  
Mayor – City of Elk Run Heights

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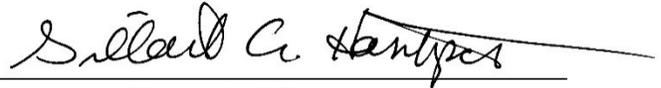
Jason Miller, PE  
Vice President

Address for giving notices:  
5042 Lafayette Road  
Elk Run Heights, Iowa 50707  
Phone: (319) 232-0020

Address for giving notices:  
1555 SE Delaware Avenue, Suite F  
Ankeny, Iowa 50021  
Phone: (515) 964-190

OWNER:  
**City of Raymond**

ENGINEER:  
**MSA PROFESSIONAL SERVICES, INC.**



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Gary Vick  
Mayor – City of Raymond

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Gilbert A. Hantzsch, PE  
CEO

Address for giving notices  
101 First Street  
PO Box 156  
Raymond, Iowa 50667  
Phone: (319) 232-6153

This is **EXHIBIT A**, consisting of 11 pages, referred to in and part of the **Agreement between Owner and Engineer for Professional Services** dated December 7, 2020

## **Engineer's Services**

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Article 1 of the Agreement is supplemented to include the following agreement of the parties.

Engineer shall provide Basic and Additional Services as set forth below.

### **PART 1 – BASIC SERVICES**

#### *A1.01 Study and Report Phase*

##### ~~A. Engineer shall:~~

- ~~1. Consult with Owner to define and clarify Owner's requirements for the Project and available data.~~
- ~~2. Advise Owner of any need for Owner to provide data or services of the types described in Exhibit B which are not part of Engineer's Basic Services.~~
- ~~3. Identify, consult with, and analyze requirements of governmental authorities having jurisdiction to approve the portions of the Project designed or specified by Engineer, including but not limited to mitigating measures identified in the environmental assessment.~~
- ~~4. Identify and evaluate alternate solutions available to Owner and, after consultation with Owner, recommend to Owner those solutions which in Engineer's judgment meet Owner's requirements for the Project.~~
- ~~5. Prepare a report (the "Report") which will, as appropriate, contain schematic layouts, sketches, and conceptual design criteria with appropriate exhibits to indicate the agreed to requirements, considerations involved, and those alternate solutions available to Owner which Engineer recommends. For each recommended solution Engineer will provide the following, which will be separately itemized: opinion of probable Construction Cost; proposed allowances for contingencies; the estimated total costs of design, professional, and related services to be provided by Engineer and its Consultants; and, on the basis of information furnished by Owner, a summary of allowances for other items and services included within the definition of Total Project Costs.~~
- ~~6. Perform or provide the following additional Study and Report Phase tasks or deliverables, as identified in Amendment 1 of exhibit A:~~
- ~~7. Furnish 3 review copies of the Report and any other deliverables to Owner.~~
- ~~8. Revise the Report and any other deliverables in response to Owner's comments, as appropriate, and furnish 3 copies of the revised Report and any other deliverables to the Owner.~~

~~B. Engineer's services under the Study and Report Phase will be considered complete on the date when the revised Report and any other deliverables have been delivered to Owner.~~

### ~~A1.02 Preliminary Design Phase~~

- ~~A. After acceptance by Owner of the Report and any other deliverables, selection by Owner of a recommended solution and indication of any specific modifications or changes in the scope, extent, character, or design requirements of the Project desired by Owner, and upon written authorization from Owner, Engineer shall:~~
- ~~1. Prepare Preliminary Design Phase documents consisting of final design criteria, preliminary drawings, outline specifications, and written descriptions of the Project.~~
  - ~~2. Provide necessary field surveys and topographic and utility mapping for design purposes. Utility mapping will be based upon information obtained from utility owners.~~
  - ~~3. Advise Owner if additional reports, data, information, or services of the types described in Exhibit B are necessary and assist Owner in obtaining such reports, data, information, or services.~~
  - ~~4. Based on the information contained in the Preliminary Design Phase documents, prepare a revised opinion of probable Construction Cost, and assist Owner in collating the various cost categories which comprise Total Project Costs.~~
  - ~~5. Perform or provide the following additional Preliminary Design Phase tasks or deliverables, as identified in Adment 1 of Exhibit A~~
  - ~~6. Furnish 3 review copies of the Preliminary Design Phase documents and any other deliverables to Owner.~~
  - ~~7. Revise the Preliminary Design Phase documents and any other deliverables in response to Owner's comments, as appropriate, and furnish to Owner 3 copies of the revised Preliminary Design Phase documents, revised opinion of probable Construction Cost, and any other deliverables.~~
- ~~B. Engineer's services under the Preliminary Design Phase will be considered complete on the date when the revised Preliminary Design Phase documents, revised opinion of probable Construction Cost, and any other deliverables have been delivered to Owner.~~

### ~~A1.03 Final Design Phase~~

- ~~A. After acceptance by Owner of the Preliminary Design Phase documents, revised opinion of probable Construction Cost as determined in the Preliminary Design Phase, and any other deliverables subject to any Owner-directed modifications or changes in the scope, extent, character, or design requirements of or for the Project, and upon written authorization from Owner, Engineer shall:~~
- ~~1. Prepare final Drawings and Specifications indicating the scope, extent, and character of the Work to be performed and furnished by Contractor.~~
  - ~~2. Provide technical criteria, written descriptions, and design data for Owner's use in filing applications for permits from or approvals of governmental authorities having jurisdiction to review or approve the final design of the Project; assist Owner in consultations with such~~

~~authorities; and revise the Drawings and Specifications in response to directives from such authorities.~~

- ~~3. Advise Owner of any adjustments to the opinion of probable Construction Cost known to Engineer.~~
- ~~4. Perform or provide the following additional Final Design Phase tasks or deliverables, as identified in Amendment 1 of Exhibit A.~~
- ~~5. Prepare and furnish bidding documents for review by Owner, its legal counsel, and other advisors, and assist Owner in the preparation of other related documents. Within 30 days of receipt, Owner shall submit to Engineer any comments and, subject to the provisions of Paragraph 6.01.G, instructions for revisions.~~
- ~~6. Revise the bidding documents in accordance with comments and instructions from the Owner, as appropriate, and submit 3 final copies of the bidding documents, a revised opinion of probable Construction Cost, and any other deliverables to Owner.~~

~~B. Engineer's services under the Final Design Phase will be considered complete on the date when the submittals required by Paragraph A1.03.A.6 have been delivered to Owner.~~

~~C. In the event that the Work designed or specified by Engineer is to be performed or furnished under more than one prime contract, or if Engineer's services are to be separately sequenced with the work of one or more prime Contractors (such as in the case of fast tracking), Owner and Engineer shall, prior to commencement of the Final Design Phase, develop a schedule for performance of Engineer's services during the Final Design, Bidding or Negotiating, Construction, and Post-Construction Phases in order to sequence and coordinate properly such services as are applicable to the work under such separate prime contracts. This schedule is to be prepared and included in or become an amendment to Exhibit A whether or not the work under such contracts is to proceed concurrently.~~

~~D. The number of prime contracts for Work designed or specified by Engineer upon which the Engineer's compensation has been established under this Agreement is 1. If more prime contracts are awarded, Engineer shall be entitled to an equitable increase in its compensation under this Agreement.~~

#### A1.04 *Bidding or Negotiating Phase*

- A. After acceptance by Owner of the bidding documents and the most recent opinion of probable Construction Cost as determined in the Final Design Phase, and upon written authorization by Owner to proceed, Engineer shall:
  1. Assist Owner in advertising for and obtaining bids or proposals for the Work and, where applicable, maintain a record of prospective bidders to whom Bidding Documents have been issued, attend pre-bid conferences, if any, and receive and process contractor deposits or charges for the bidding documents.
  2. Issue addenda as appropriate to clarify, correct, or change the bidding documents.
  3. Provide information or assistance needed by Owner in the course of any negotiations with prospective contractors.

4. Consult with Owner as to the acceptability of subcontractors, suppliers, and other individuals and entities proposed by prospective contractors for those portions of the Work as to which such acceptability is required by the bidding documents.
  5. If bidding documents require, the Engineer shall evaluate and determine the acceptability of "or equals" and substitute materials and equipment proposed by bidders, but subject to the provisions of paragraph A2.02.A.2 of this Exhibit A.
  6. Attend the Bid opening, prepare Bid tabulation sheets, and assist Owner in evaluating Bids or proposals and in assembling and awarding contracts for the Work.
  7. Perform or provide the following additional Bidding or Negotiating Phase tasks or deliverables, as identified in Amendment 1 of Exhibit A.
- B. The Bidding or Negotiating Phase will be considered complete upon commencement of the Construction Phase or upon cessation of negotiations with prospective contractors (except as may be required if Exhibit F is a part of this Agreement).

#### A1.05 *Construction Phase*

- A. Upon successful completion of the Bidding and Negotiating Phase, and upon written authorization from Owner, Engineer shall:
1. *General Administration of Construction Contract:* Consult with Owner and act as Owner's representative as provided in the Construction Contract. The extent and limitations of the duties, responsibilities, and authority of Engineer as assigned in the Construction Contract shall not be modified, except as Engineer may otherwise agree in writing. All of Owner's instructions to Contractor will be issued through Engineer, which shall have authority to act on behalf of Owner in dealings with Contractor to the extent provided in this Agreement and the Construction Contract except as otherwise provided in writing.
  2. *Resident Project Representative (RPR):* Provide the services of an RPR at the Site to assist the Engineer and to provide more extensive observation of Contractor's work. Duties, responsibilities, and authority of the RPR are as set forth in Exhibit D. The furnishing of such RPR's services will not limit, extend, or modify Engineer's responsibilities or authority except as expressly set forth in Exhibit D.
  3. *Selecting Independent Testing Laboratory:* Assist Owner in the selection of an independent testing laboratory to perform the services identified in Exhibit B, Paragraph B2.01.0.
  4. *Pre-Construction Conference:* Participate in a Pre-Construction Conference prior to commencement of Work at the Site.
  5. *Schedules:* Receive, review, and determine the acceptability of any and all schedules that Contractor is required to submit to Engineer, including the Progress Schedule, Schedule of Submittals, and Schedule of Values.
  6. *Baselines and Benchmarks:* As appropriate, establish baselines and benchmarks for locating the Work which in Engineer's judgment are necessary to enable Contractor to proceed.

7. *Visits to Site and Observation of Construction:* In connection with observations of Contractor's Work while it is in progress:
- a. Make visits to the Site at intervals appropriate to the various stages of construction, as Engineer deems necessary, to observe as an experienced and qualified design professional the progress of Contractor's executed Work. Such visits and observations by Engineer, and the Resident Project Representative, if any, are not intended to be exhaustive or to extend to every aspect of Contractor's Work in progress or to involve detailed inspections of Contractor's Work in progress beyond the responsibilities specifically assigned to Engineer in this Agreement and the Contract Documents, but rather are to be limited to spot checking, selective sampling, and similar methods of general observation of the Work based on Engineer's exercise of professional judgment, as assisted by the Resident Project Representative, if any. Based on information obtained during such visits and observations, Engineer will determine in general if the Work is proceeding in accordance with the Contract Documents, and Engineer shall keep Owner informed of the progress of the Work.
  - b. The purpose of Engineer's visits to, and representation by the Resident Project Representative, if any, at the Site, will be to enable Engineer to better carry out the duties and responsibilities assigned to and undertaken by Engineer during the Construction Phase, and, in addition, by the exercise of Engineer's efforts as an experienced and qualified design professional, to provide for Owner a greater degree of confidence that the completed Work will conform in general to the Contract Documents and that Contractor has implemented and maintained the integrity of the design concept of the completed Project as a functioning whole as indicated in the Contract Documents. Engineer shall not, during such visits or as a result of such observations of Contractor's Work in progress, supervise, direct, or have control over Contractor's Work, nor shall Engineer have authority over or responsibility for the means, methods, techniques, sequences, or procedures of construction selected or used by Contractor, for security or safety at the Site, for safety precautions and programs incident to Contractor's Work, nor for any failure of Contractor to comply with Laws and Regulations applicable to Contractor's furnishing and performing the Work. Accordingly, Engineer neither guarantees the performance of any Contractor nor assumes responsibility for any Contractor's failure to furnish or perform the Work in accordance with the Contract Documents.
8. *Defective Work:* Reject Work if, on the basis of Engineer's observations, Engineer believes that such Work (a) is defective under the standards set forth in the Contract Documents, (b) will not produce a completed Project that conforms to the Contract Documents, or (c) will imperil the integrity of the design concept of the completed Project as a functioning whole as indicated by the Contract Documents.
9. *Clarifications and Interpretations; Field Orders:* Issue necessary clarifications and interpretations of the Contract Documents as appropriate to the orderly completion of Contractor's work. Such clarifications and interpretations will be consistent with the intent of and reasonably inferable from the Contract Documents. Subject to any limitations in the Contract Documents, Engineer may issue field orders authorizing minor variations in the Work from the requirements of the Contract Documents.

10. *Change Orders and Work Change Directives*: Recommend change orders and work change directives to Owner, as appropriate, and prepare change orders and work change directives as required.
11. *Shop Drawings and Samples*: Review and approve or take other appropriate action in respect to Shop Drawings and Samples and other data which Contractor is required to submit, but only for conformance with the information given in the Contract Documents and compatibility with the design concept of the completed Project as a functioning whole as indicated by the Contract Documents. Such reviews and approvals or other action will not extend to means, methods, techniques, sequences, or procedures of construction or to safety precautions and programs incident thereto. Engineer shall meet any Contractor's submittal schedule that Engineer has accepted.
12. *Substitutes and "or-equal"*: Evaluate and determine the acceptability of substitute or "or-equal" materials and equipment proposed by Contractor, but subject to the provisions of Paragraph A2.02.A.2 of this Exhibit A.
13. *Inspections and Tests*: Require such special inspections or tests of Contractor's work as deemed reasonably necessary, and receive and review all certificates of inspections, tests, and approvals required by Laws and Regulations or the Contract Documents. Engineer's review of such certificates will be for the purpose of determining that the results certified indicate compliance with the Contract Documents and will not constitute an independent evaluation that the content or procedures of such inspections, tests, or approvals comply with the requirements of the Contract Documents. Engineer shall be entitled to rely on the results of such tests.
14. *Disagreements between Owner and Contractor*: Render formal written decisions on all duly submitted issues relating to the acceptability of Contractor's work or the interpretation of the requirements of the Contract Documents pertaining to the execution, performance, or progress of Contractor's Work; review each duly submitted Claim by Owner or Contractor, and in writing either deny such Claim in whole or in part, approve such Claim, or decline to resolve such Claim if Engineer in its discretion concludes that to do so would be inappropriate. In rendering such decisions, Engineer shall be fair and not show partiality to Owner or Contractor and shall not be liable in connection with any decision rendered in good faith in such capacity.
15. *Applications for Payment*: Based on Engineer's observations as an experienced and qualified design professional and on review of Applications for Payment and accompanying supporting documentation:
  - a. Determine the amounts that Engineer recommends Contractor be paid. Such recommendations of payment will be in writing and will constitute Engineer's representation to Owner, based on such observations and review, that, to the best of Engineer's knowledge, information and belief, Contractor's Work has progressed to the point indicated, the Work is generally in accordance with the Contract Documents (subject to an evaluation of the Work as a functioning whole prior to or upon Substantial Completion, to the results of any subsequent tests called for in the Contract Documents, and to any other qualifications stated in the recommendation), and the conditions precedent to Contractor's being entitled to such payment appear to have been fulfilled in so far as it is Engineer's responsibility to observe Contractor's Work. In the case of unit

price work, Engineer's recommendations of payment will include final determinations of quantities and classifications of Contractor's Work (subject to any subsequent adjustments allowed by the Contract Documents).

- b. By recommending any payment, Engineer shall not thereby be deemed to have represented that observations made by Engineer to check the quality or quantity of Contractor's Work as it is performed and furnished have been exhaustive, extended to every aspect of Contractor's Work in progress, or involved detailed inspections of the Work beyond the responsibilities specifically assigned to Engineer in this Agreement and the Contract Documents. Neither Engineer's review of Contractor's Work for the purposes of recommending payments nor Engineer's recommendation of any payment including final payment will impose on Engineer responsibility to supervise, direct, or control Contractor's Work in progress or for the means, methods, techniques, sequences, or procedures of construction or safety precautions or programs incident thereto, or Contractor's compliance with Laws and Regulations applicable to Contractor's furnishing and performing the Work. It will also not impose responsibility on Engineer to make any examination to ascertain how or for what purposes Contractor has used the moneys paid on account of the Contract Price, or to determine that title to any portion of the Work in progress, materials, or equipment has passed to Owner free and clear of any liens, claims, security interests, or encumbrances, or that there may not be other matters at issue between Owner and Contractor that might affect the amount that should be paid.
16. *Contractor's Completion Documents:* Receive, review, and transmit to Owner maintenance and operating instructions, schedules, guarantees, bonds, certificates or other evidence of insurance required by the Contract Documents, certificates of inspection, tests and approvals, Shop Drawings, Samples and other data approved as provided under Paragraph A1.05.A.11, and transmit the annotated record documents which are to be assembled by Contractor in accordance with the Contract Documents to obtain final payment. The extent of such review by Engineer will be limited as provided in Paragraph A1.05.A.11.
  17. *Substantial Completion:* Promptly after notice from Contractor that Contractor considers the entire Work ready for its intended use, in company with Owner and Contractor, visit the Project to determine if the Work is substantially complete. If after considering any objections of Owner, Engineer considers the Work substantially complete, Engineer shall deliver a certificate of Substantial Completion to Owner and Contractor.
  18. *Additional Tasks:* Perform or provide the following additional Construction Phase tasks or deliverables, as identified in Amendment 1 of Exhibit A.
  19. *Final Notice of Acceptability of the Work:* Conduct a final visit to the Project to determine if the completed Work of Contractor is acceptable so that Engineer may recommend, in writing, final payment to Contractor. Accompanying the recommendation for final payment, Engineer shall also provide a notice in the form attached hereto as Exhibit E (the "Notice of Acceptability of Work") that the Work is acceptable (subject to the provisions of Paragraph A1.05.A.15.b) to the best of Engineer's knowledge, information, and belief and based on the extent of the services provided by Engineer under this Agreement.
- B. *Duration of Construction Phase:* The Construction Phase will commence with the execution of the first Construction Contract for the Project or any part thereof and will terminate upon written recommendation by Engineer for final payment to Contractors. If the Project involves more than

one prime contract as indicated in Paragraph A1.03.C, then Construction Phase services may be rendered at different times in respect to the separate contracts. Subject to the provisions of Article 3, Engineer shall be entitled to an equitable increase in compensation if Construction Phase services (including Resident Project Representative services, if any) are required after the original date for completion and readiness for final payment of Contractor as set forth in the Construction Contract.

- C. *Limitation of Responsibilities:* Engineer shall not be responsible for the acts or omissions of any Contractor, Subcontractor or Supplier, or other individuals or entities performing or furnishing any of the Work, for safety or security at the Site, or for safety precautions and programs incident to Contractor's Work, during the Construction Phase or otherwise. Engineer shall not be responsible for the failure of any Contractor to perform or furnish the Work in accordance with the Contract Documents.

#### A1.06 *Post-Construction Phase*

- A. Upon written authorization from Owner during the Post-Construction Phase Engineer shall:
  - 1. Together with Owner, visit the Project to observe any apparent defects in the Work, assist Owner in consultations and discussions with Contractor concerning correction of any such defects, and make recommendations as to replacement or correction of defective Work, if any.
  - 2. Together with Owner or Owner's representative, visit the Project within one month before the end of the correction period to ascertain whether any portion of the Work is subject to correction.
  - 3. Perform or provide the following additional Post-Construction Phase tasks or deliverables, as identified in Amendment 1 of Exhibit A.
- B. The Post-Construction Phase services may commence during the Construction Phase and, if not otherwise modified in this Exhibit A, will terminate twelve months after the commencement of the Construction Contract's correction period.

## **PART 2 – ADDITIONAL SERVICES**

#### A2.01 *Additional Services Requiring Owner's Written Authorization*

- A. If authorized in writing by Owner, Engineer shall furnish or obtain from others Additional Services of the types listed below.
  - 1. Preparation of applications and supporting documents (in addition to those furnished under Basic Services) for private or governmental grants, loans, or advances in connection with the Project; preparation or review of environmental assessments and impact statements; review and evaluation of the effects on the design requirements for the Project of any such statements and documents prepared by others; and assistance in obtaining approvals of authorities having jurisdiction over the anticipated environmental impact of the Project.
  - 2. Services to make measured drawings of or to investigate existing conditions or facilities, or to verify the accuracy of drawings or other information furnished by Owner or others.

3. Services resulting from significant changes in the scope, extent, or character of the portions of the Project designed or specified by Engineer or its design requirements including, but not limited to, changes in size, complexity, Owner's schedule, character of construction, or method of financing; and revising previously accepted studies, reports, Drawings, Specifications, or Contract Documents when such revisions are required by changes in Laws and Regulations enacted subsequent to the Effective Date or are due to any other causes beyond Engineer's control.
4. Services resulting from Owner's request to evaluate additional Study and Report Phase alternative solutions beyond those identified in Paragraph A1.01.A.4.
5. Services required as a result of Owner's providing incomplete or incorrect Project information to Engineer.
6. Providing renderings or models for Owner's use.
7. Undertaking investigations and studies including, but not limited to, detailed consideration of operations, maintenance, and overhead expenses; the preparation of financial feasibility and cash flow studies, rate schedules, and appraisals; assistance in obtaining financing for the Project; evaluating processes available for licensing, and assisting Owner in obtaining process licensing; detailed quantity surveys of materials, equipment, and labor; and audits or inventories required in connection with construction performed by Owner.
8. Furnishing services of Consultants for other than Basic Services.
9. Services attributable to more prime construction contracts than specified in Paragraph A1.03.D.
10. Services during out-of-town travel required of Engineer other than for visits to the Site or Owner's office.
11. Preparing for, coordinating with, participating in and responding to structured independent review processes, including, but not limited to, construction management, cost estimating, project peer review, value engineering, and constructability review requested by Owner; and performing or furnishing services required to revise studies, reports, Drawings, Specifications, or other Bidding Documents as a result of such review processes.
12. Preparing additional Bidding Documents or Contract Documents for alternate bids or prices requested by Owner for the Work or a portion thereof.
13. Assistance in connection with Bid protests, rebidding, or renegotiating contracts for construction, materials, equipment, or services, except when such assistance is required by Exhibit F.
14. Providing construction surveys and staking to enable Contractor to perform its work other than as required under Paragraph A1.05.A.6, and any type of property surveys or related engineering services needed for the transfer of interests in real property; and providing other special field surveys.
15. Providing Construction Phase services beyond the original date for completion and readiness for final payment of Contractor.

16. Providing assistance in responding to the presence of any Constituent of Concern at the Site, in compliance with current Laws and Regulations.
17. Preparing Record Drawings showing appropriate record information based on Project annotated record documents received from Contractor, and furnishing such Record Drawings to Owner.
18. Preparation of operation and maintenance manuals.
19. Preparing to serve or serving as a consultant or witness for Owner in any litigation, arbitration, or other dispute resolution process related to the Project.
20. Providing more extensive services required to enable Engineer to issue notices or certifications requested by Owner.
21. Assistance in connection with the adjusting of Project equipment and systems.
22. Assistance to Owner in training Owner's staff to operate and maintain Project equipment and systems.
23. Assistance to Owner in developing procedures for (a) control of the operation and maintenance of Project equipment and systems, and (b) related record-keeping.
24. Overtime work requiring higher than regular rates.
25. Other services performed or furnished by Engineer not otherwise provided for in this Agreement.

*A2.02 Additional Services Not Requiring Owner's Written Authorization*

- A. Engineer shall advise Owner in advance that Engineer is will immediately commence to perform or furnish the Additional Services of the types listed below. For such Additional Services, Engineer need not request or obtain specific advance written authorization from Owner. Engineer shall cease performing or furnishing such Additional Services upon receipt of written notice from Owner.
  1. Services in connection with work change directives and change orders to reflect changes requested by Owner.
  2. Services in making revisions to Drawings and Specifications occasioned by the acceptance of substitute materials or equipment other than "or-equal" items; services after the award of the Construction Contract in evaluating and determining the acceptability of a proposed "or equal" or substitution which is found to be inappropriate for the Project; evaluation and determination of an excessive number of proposed "or equals" or substitutions, whether proposed before or after award of the Construction Contract.
  3. Services resulting from significant delays, changes, or price increases occurring as a direct or indirect result of materials, equipment, or energy shortages.
  4. Additional or extended services during construction made necessary by (1) emergencies or acts of God endangering the Work (advance notice not required), (2) the presence at the Site of any Constituent of Concern or items of historical or cultural significance, (3) Work

- damaged by fire or other cause during construction, (4) a significant amount of defective, neglected, or delayed work by Contractor, (5) acceleration of the progress schedule involving services beyond normal working hours, or (6) default by Contractor.
5. Services (other than Basic Services during the Post-Construction Phase) in connection with any partial utilization of any part of the Work by Owner prior to Substantial Completion.
  6. Evaluating an unreasonable claim or an excessive number of claims submitted by Contractor or others in connection with the Work.
  7. Services during the Construction Phase rendered after the original date for completion of the Work referred to in A1.05.B.
  8. Reviewing a Shop Drawing more than three times, as a result of repeated inadequate submissions by Contractor.
  9. While at the Site, compliance by Engineer and its staff with those terms of Owner's or Contractor's safety program provided to Engineer subsequent to the Effective Date that exceed those normally required of engineering personnel by federal, state, or local safety authorities for similar construction sites.

## **Further Description of Basic Engineering Services and Related Matters**

Exhibit A of the Agreement is amended and supplemented and the time periods for the performance of the Basic Services as indicated in Article 3 of the Agreement are established, all as indicated below:

### **BASIC SERVICES OF ENGINEER**

#### **General**

The Basic Services are premised on the following general scope of professional services:

MSA Professional Services, Inc. proposes to provide services for the completion of bidding, construction administration, construction observation and operational in association with the new Wastewater treatment facility, as permitted by Iowa DNR for the following:

- 1) New Wastewater Treatment Facility

The project is intended to be completed in conformance with the standards stipulated by the Engineers Joint Contract Documents Committee and published jointly by the American Consulting Engineers Council and National Society of Professional Engineers.

#### **Field Work:**

Survey documentation sufficient to locate major structures within the proposed improvements shall be performed on a One-Time basis. Costs for additional survey documentation or locational information requested by the contractor, shall be borne by the Contractor.

#### **Easement Preparation:**

It has been assumed under the completion of this agreement, that the majority of the construction will be located in the public right of way, on city owned property or within existing easements. As such, modification to the alignment, necessitating additional easements may be considered out of scope of the agreement.

#### **Permit Applications:**

All required forms and filings shall be completed by Engineer; however associated permit fees shall be paid by the OWNER.

#### **Administration**

Engineer shall further prepare billings in a timely manner, as stipulated herein.

**MSA's engineering services are as outlined in Exhibit A, and shall also include the following:**

**Wastewater Treatment Facility:**

- 1) Bidding and Negotiating Phase
  - a) Prepare and provide necessary bidding documents including construction documents, engineer provided funding forms, online posting of the bid, fax notice of bid to local contractors (Owner to provide list of requested contractors to be included).
  - b) Answer bidder questions prior to bidding
  - c) Attend pre-bid conference
  - d) Issue addenda as required
  - e) Attend and facilitate bid opening. Review bids and provide a recommendation to the Owner for action.
  - f) Prepare and distribute contractor construction contract for execution. Review contract, bonds, insurance forms for general conformity to contract requirements. Owner may at their direction and expense have same reviewed by City Attorney and or Insurance Provider as deemed appropriate.
- 2) Construction Administration Phase:
  - a) Provide overall Project Management for the Owner-Engineer contract.
  - b) Provide project administration for Owner-Engineer contract, including correspondence, billings, files, etc.
  - c) Provide general administration of construction contract, including review and interpretation of Owner-Contractor contract.
  - d) Attend and Facilitate Pre-Construction Conference
  - e) Review and prepare Change Orders and Work Change Directives
  - f) Receive and file Shop Drawings and Samples; provide Owner copies.
    - 1) A single review of each submitted shop drawing is included within the scope of this agreement. Costs for further reviews of submitted shop drawings are herein assumed to be compensated by Contractor, not OWNER.
  - g) Review and recommend Contractor applications for payment.
  - h) Review and facilitate receipt of Contractor Completion documents
  - i) Issue Final Notice of Acceptability of the Work, issue and review Punch List items
  - j) Meet with the Owner to determine the project construction requirements, provide periodic updates of the project progress, and discuss any relevant issues concerning the project.
  - k) Provide coordination and review services with Funding Administrator;
  - l) Attend monthly construction meetings with the Contractor for an assumed construction contract length of 15 months.
  - m) Provide oversight of the project by Principal in Charge and general QA/QC
  - n) Coordinate Engineer's Subconsultants and team members
  - o) Document and ensure Operator Training from the Contractor as required.
  - p) Witness and document equipment start up and performance tests required to demonstrate compliance with the contract documents.
  - q) Provide (via subconsultant) geotechnical review and consultation of construction results and provide recommendations as required.

- 3) Resident Project Representative and Construction Observation Phase
  - a) Provide construction staking for the Contractor's use in construction. Stakes will be placed once as requested by the Contractor. Any required restaking will be at the Contractor's Expense.
  - b) Provide for Professional Engineer level Construction Review and coordination
  - c) Provide on-site observation to determine the amount, quality and acceptability and fitness of the work, materials and equipment, which are to be paid for under the contract. Onsite observations have been assumed as outlined within Exhibit C of this agreement.
  - d) Provide as described below Geotechnical review of construction progress to assure construction is in compliance with intent of design; this is intended as a spot check of the Contractor's work and the materials testing; they are to provide per the construction documents. Intermittent Geotechnical review of construction progress shall be assumed to be no more than the following:

Tests Performed	Assumed number of tests (Included within contract)
Technician hours on site	20
Laboratory Proctor	3
Nuclear Density Gauge testing	60

- 4) Post Construction Phase
  - a) Prepare and provide two (2) copies of Operation and Maintenance manuals as required, associated with the Wastewater Treatment Facility
  - b) Prepare and provide two (2) copies of record drawings depicting the nature of the construction modifications;
  - c) Complete an 11<sup>th</sup> month warranty review, approximately 11 months after substantial completion of the project. Warranty reviews shall include onsite observation with OWNER to determine items of non-compliance with the project requirements, prior to the expiration of the required 1-year warranty.

Associated with the completion of the above projects, MSA and their subconsultants shall also provide the following:

**ADMINISTRATION**

1. Attend one (1) Joint Sewer committee meeting per month during the construction phase for a duration of no more than 15 months.
2. Prepare and review billings to Owner in a timely manner.

*Items Not Included in Basic Services*

**1. Additional Meetings**

Attendance at any such meetings that are not specifically address above shall be considered above and beyond the scope of services as identified herein.

**2. Land Acquisition services**

It has been assumed under this agreement that no services are required in association with land acquisition in relation to the construction identified. As such, any work in relation to land acquisition shall be considered out of scope of this agreement.

**3. Study and Design Phase services**

It has been assumed under this agreement that study and design phase services have already been completed, as such any such services requiring either study or design phase services shall be considered out of the scope of the agreement.

**4. Construction Management Services**

It has been assumed under this agreement that no services associated with Construction Management between multiple contractors will be provided, as such any such services requiring construction management services shall be considered out of the scope of the agreement.

## **Owner's Responsibilities**

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Article 2 of the Agreement is supplemented to include the following agreement of the parties.

B2.01 In addition to other responsibilities of Owner as set forth in this Agreement, Owner shall at its expense:

- A. Provide Engineer with all criteria and full information as to Owner's requirements for the Project, including design objectives and constraints, space, capacity and performance requirements, flexibility, and expandability, and any budgetary limitations; and furnish copies of all design and construction standards which Owner will require to be included in the Drawings and Specifications; and furnish copies of Owner's standard forms, conditions, and related documents for Engineer to include in the Bidding Documents, when applicable.
- B. Furnish to Engineer any other available information pertinent to the Project including reports and data relative to previous designs, or investigation at or adjacent to the Site.
- C. Following Engineer's assessment of initially-available Project information and data and upon Engineer's request, furnish or otherwise make available such additional Project related information and data as is reasonably required to enable Engineer to complete its Basic and Additional Services. Such additional information or data would generally include the following:
  1. Property descriptions.
  2. Zoning, deed, and other land use restrictions.
  3. Property, boundary, easement, right-of-way, and other special surveys or data, including establishing relevant reference points.
  4. Explorations and tests of subsurface conditions at or contiguous to the Site, drawings of physical conditions relating to existing surface or subsurface structures at the Site, or hydrographic surveys, with appropriate professional interpretation thereof.
  5. Environmental assessments, audits, investigations, and impact statements, and other relevant environmental or cultural studies as to the Project, the Site, and adjacent areas.
  6. Data or consultations as required for the Project but not otherwise identified in the Agreement or the Exhibits thereto.
- D. Give prompt written notice to Engineer whenever Owner observes or otherwise becomes aware of the presence at the Site of any Constituent of Concern, or of any other development that affects the scope or time of performance of Engineer's services, or any defect or nonconformance in Engineer's services, the Work, or in the performance of any Contractor.
- E. Authorize Engineer to provide Additional Services as set forth in Part 2 of Exhibit A of the Agreement as required.

- F. Arrange for safe access to and make all provisions for Engineer to enter upon public and private property as required for Engineer to perform services under the Agreement.
- G. Examine all alternate solutions, studies, reports, sketches, Drawings, Specifications, proposals, and other documents presented by Engineer (including obtaining advice of an attorney, insurance counselor, and other advisors or consultants as Owner deems appropriate with respect to such examination) and render in writing timely decisions pertaining thereto.
- H. Provide reviews, approvals, and permits from all governmental authorities having jurisdiction to approve all phases of the Project designed or specified by Engineer and such reviews, approvals, and consents from others as may be necessary for completion of each phase of the Project.
- I. Recognizing and acknowledging that Engineer's services and expertise do not include the following services, provide, as required for the Project:
  - 1. Accounting, bond and financial advisory, independent cost estimating, and insurance counseling services.
  - 2. Legal services with regard to issues pertaining to the Project as Owner requires, Contractor raises, or Engineer reasonably requests.
  - 3. Such auditing services as Owner requires to ascertain how or for what purpose Contractor has used the moneys paid.
- J. Place and pay for advertisement for Bids in appropriate publications.
- K. Advise Engineer of the identity and scope of services of any independent consultants employed by Owner to perform or furnish services in regard to the Project, including, but not limited to, cost estimating, project peer review, value engineering, and constructability review.
- L. Furnish to Engineer data as to Owner's anticipated costs for services to be provided by others (including, but not limited to, accounting, bond and financial, independent cost estimating, insurance counseling, and legal advice) for Owner so that Engineer may assist Owner in collating the various cost categories which comprise Total Project Costs.
- M. If Owner designates a construction manager or an individual or entity other than, or in addition to, Engineer to represent Owner at the Site, define and set forth as an attachment to this Exhibit B the duties, responsibilities, and limitations of authority of such other party and the relation thereof to the duties, responsibilities, and authority of Engineer.
- N. If more than one prime contract is to be awarded for the Work designed or specified by Engineer, designate a person or entity to have authority and responsibility for coordinating the activities among the various prime Contractors, and define and set forth the duties, responsibilities, and limitations of authority of such individual or entity and the relation thereof to the duties, responsibilities, and authority of Engineer as an attachment to this Exhibit B that is to be mutually agreed upon and made a part of this Agreement before such services begin.
- O. Attend the pre-bid conference, bid opening, pre-construction conferences, construction progress and other job related meetings, and Substantial Completion and final payment visits to the Project.

- P. Provide the services of an independent testing laboratory to perform all inspections, tests, and approvals of samples, materials, and equipment required by the Contract Documents, or to evaluate the performance of materials, equipment, and facilities of Owner, prior to their incorporation into the Work with appropriate professional interpretation thereof.
- Q. Provide Engineer with the findings and reports generated by the entities providing services to Owner pursuant to this paragraph.
- R. Inform Engineer in writing of any specific requirements of safety or security programs that are applicable to Engineer, as a visitor to the Site.
- S. Perform or provide the following additional services:
  - 1. Payment of Any and all permitting fees

This is **EXHIBIT C**, consisting of 3 pages, referred to in and part of the Agreement between Owner and Engineer for Professional Services dated December 7, 2020.

**Payments to Engineer for Services and Reimbursable Expenses**  
**COMPENSATION PACKET BC-1: Basic Services – Lump Sum**

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Article 2 of the Agreement is supplemented to include the following agreement of the parties:

**ARTICLE 2 – OWNER’S RESPONSIBILITIES**

**C2.01 Compensation for Basic Services (other than Resident Project Representative) – Lump Sum Method of Payment**

- A. Owner shall pay Engineer for Basic Services set forth in Exhibit A, except for services of Engineer’s Resident Project Representative, if any, as follows:
1. A Lump Sum amount of \$289,000.00 based on the following estimated distribution of compensation:

a. Bidding and Negotiating Phase	\$ <u>28,000.00</u>
b. Construction Phase	\$ <u>233,000.00</u>
c. Post-Construction Phase	\$ <u>28,000.00</u>
  2. Engineer may alter the distribution of compensation between individual phases noted herein to be consistent with services actually rendered, but shall not exceed the total Lump Sum amount unless approved in writing by the Owner.
  3. The Lump Sum includes compensation for Engineer’s services and services of Engineer’s Consultants, if any. Appropriate amounts have been incorporated in the Lump Sum to account for labor, overhead, profit, and Reimbursable Expenses.
  4. The portion of the Lump Sum amount billed for Engineer’s services will be based upon Engineer’s estimate of the percentage of the total services actually completed during the billing period.
- B. *Period of Service:* The compensation amount stipulated in Compensation Packet BC-1 is conditioned on a period of service not exceeding 15 months. If such period of service is extended, the compensation amount for Engineer's services shall be appropriately adjusted.

**COMPENSATION PACKET RPR-2:  
Resident Project Representative – Standard Hourly Rates**

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Article 2 of the Agreement is supplemented to include the following agreement of the parties:

**C2.04 Compensation for Resident Project Representative Basic Services – Standard Hourly Rates Method of Payment**

*C. Owner shall pay Engineer for Resident Project Representative Basic Services as follows:*

1. *Resident Project Representative Services:* For services of Engineer's Resident Project Representative under Paragraph A1.05A of Exhibit A, an amount equal to the cumulative hours charged to the Project by each class of Engineer's personnel times Standard Hourly Rates for each applicable billing class for all Resident Project Representative services performed on the Project, plus related Reimbursable Expenses and Engineer's Consultant's charges, if any. The total compensation under this Paragraph is estimated to be \$414,000.00 based upon an assumed total number of hours of RPR services of 3,000. This equates to an assumption of full-time RPR services on an eight-hour workday, Monday through Friday, over a 15 month construction schedule to substantial completion.
  - a. Full time RPR services, is to mean anticipated 8-hour workdays for the days RPR to be onsite. It is anticipated that the Contractor is not performing work on each day for the 15-month contracted construction schedule; RPR, Engineer and Owner will coordinate which days RPR is to be onsite.
  - b. The fee estimated above based on Hourly Rates includes construction staking, Professional Engineer Construction Coordination and Management and minor quality assurance materials testing.

*D. Compensation for Reimbursable Expenses:*

1. For those Reimbursable Expenses that are not accounted for in the compensation for Basic Services under Paragraph C2.01, and are directly related to the provision of Resident Project Representative or Post-Construction Basic Services, Owner shall pay Engineer at the rates set forth in Appendix 1 to this Exhibit C.
2. Reimbursable Expenses include the following categories: transportation and subsistence incidental thereto; ; providing and maintaining field office facilities including furnishings and utilities; subsistence and transportation of Resident Project Representative and assistants; toll telephone calls and mobile phone charges; reproduction of reports, Drawings, Specifications, Bidding Documents, and similar Project-related items in addition to those required under Exhibit A. In addition, if authorized in advance by Owner, Reimbursable Expenses will also include expenses incurred for the use of highly specialized equipment.
3. The amounts payable to Engineer for Reimbursable Expenses, if any, will be those internal expenses related to the Resident Project Representative Basic Services that are actually incurred or allocated by Engineer, plus all invoiced external Reimbursable Expenses allocable to such services, the latter multiplied by a factor of 1.10.
4. The Reimbursable Expenses Schedule will be adjusted annually (as of January) to reflect equitable changes in the compensation payable to Engineer.

E. *Other Provisions Concerning Payment Under this Paragraph C2.04:*

1. Whenever Engineer is entitled to compensation for the charges of Engineer's Consultants, those charges shall be the amounts billed by Engineer's Consultants to Engineer times a factor of 1.10.
2. *Factors:* The external Reimbursable Expenses and Engineer's Consultant's factors include Engineer's overhead and profit associated with Engineer's responsibility for the administration of such services and costs.
3. *Estimated Compensation Amounts:*
  - a. Engineer's estimate of the amounts that will become payable for specified services are only estimates for planning purposes, are not binding on the parties, and are not the minimum or maximum amounts payable to Engineer under the Agreement.
  - b. When estimated compensation amounts have been stated herein and it subsequently becomes apparent to Engineer that the total compensation amount thus estimated will be exceeded, Engineer shall give Owner written notice thereof, allowing Owner to consider its options, including suspension or termination of Engineer's services for Owner's convenience. Upon notice Owner and Engineer promptly shall review the matter of services remaining to be performed and compensation for such services. Owner shall either exercise its right to suspend or terminate Engineer's services for Owner's convenience, agree to such compensation exceeding said estimated amount, or agree to a reduction in the remaining services to be rendered by Engineer, so that total compensation for such services will not exceed said estimated amount when such services are completed. If Owner decides not to suspend Engineer's services during negotiations and Engineer exceeds the estimated amount before Owner and Engineer have agreed to an increase in the compensation due Engineer or a reduction in the remaining services, then Engineer shall be paid for all services rendered hereunder.
4. To the extent necessary to verify Engineer's charges and upon Owner's timely request, Engineer shall make copies of such records available to Owner at cost.

**COMPENSATION PACKET AS-1:  
Additional Services – Standard Hourly Rates**

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Article 2 of the Agreement is supplemented to include the following agreement of the parties:

A. Owner shall pay Engineer for Additional Services, if any, as follows:

1. *General:* For services of Engineer's personnel engaged directly on the Project pursuant to Paragraph A2.01 or A2.02 of Exhibit A, except for services as a consultant or witness under Paragraph A2.01.A.20, (which if needed shall be separately negotiated based on the nature of the required consultation or testimony) an amount equal to the cumulative hours charged to the Project by each class of Engineer's personnel times Standard Hourly Rates for each applicable billing class for all Additional Services performed on the Project, plus related Reimbursable Expenses and Engineer's Consultant's charges, if any.

B. *Compensation For Reimbursable Expenses:*

1. For those Reimbursable Expenses that are not accounted for in the compensation for Basic Services under Paragraph C2.01 and are directly related to the provision of Additional Services, Owner shall pay Engineer at the rates set forth in Appendix 1 to this Exhibit C.

2. Reimbursable Expenses include the following categories: transportation and subsistence incidental thereto; providing and maintaining field office facilities including furnishings and utilities; toll telephone calls and mobile phone charges; reproduction of reports, Drawings, Specifications, Bidding Documents, and similar Project-related items in addition to those required under Exhibit A. In addition, if authorized in advance by Owner, Reimbursable Expenses will also include expenses incurred for the use of highly specialized equipment.

3. The amounts payable to Engineer for Reimbursable Expenses, if any, will be the Additional Services-related internal expenses actually incurred or allocated by Engineer, plus all invoiced external Reimbursable Expenses allocable to such Additional Services, the latter multiplied by a factor of 1.10.

4. The Reimbursable Expenses Schedule will be adjusted annually (as of January 2021) to reflect equitable changes in the compensation payable to Engineer.

C. *Other Provisions Concerning Payment For Additional Services:*

1. Whenever Engineer is entitled to compensation for the charges of Engineer's Consultants, those charges shall be the amounts billed by Engineer's Consultants to Engineer times a factor of 1.10.

2. *Factors:* The external Reimbursable Expenses and Engineer's Consultant's Factors include Engineer's overhead and profit associated with Engineer's responsibility for the administration of such services and costs.

3. To the extent necessary to verify Engineer's charges and upon Owner's timely request, Engineer shall make copies of such records available to Owner at cost.

This is **Appendix 1 to EXHIBIT C**, consisting of 1 pages, referred to in and part of the **Agreement between Owner and Engineer for Professional Services** dated December 7, 2020.

**Reimbursable Expenses Schedule**

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Current agreements for engineering services stipulate that the Reimbursable Expenses are subject to review and adjustment per Exhibit C. Reimbursable expenses for services performed on the date of the Agreement are:

REIMBURSABLE EXPENSES

Copies/Prints.....	Rate based on volume
Fax.....	\$1.00/page
GPS Equipment .....	\$40/hour
Mailing/UPS .....	At cost
Mileage – Automobile (currently \$0.575/mile).....	Rate set by Fed. Gov.
Mileage – MSA Truck.....	\$0.70/mile
Nuclear Density Testing.....	\$25.00/day + \$10/test
Organic Vapor Field Meter .....	\$100/day
PC/CADD Machine.....	Included in labor rates
Stakes/Lath/Rods .....	At cost
Total Station.....	Included in labor rates
Travel Expenses, Lodging, & Meals.....	At cost
Traffic Counting Equipment & Data Processing.....	At cost
Trimble Geodimeter	\$30/hour

This is **Appendix 2 to EXHIBIT C**, consisting of 1 pages, referred to in and part of the **Agreement between Owner and Engineer for Professional Services** dated December 7, 2020.

## **Standard Hourly Rates Schedule**

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### *A. Standard Hourly Rates:*

1. Standard Hourly Rates are set forth in this Appendix 2 to this Exhibit C and include salaries and wages paid to personnel in each billing class plus the cost of customary and statutory benefits, general and administrative overhead, non-project operating costs, and operating margin or profit.
2. The Standard Hourly Rates apply only as specified in Article C2.

### *B. Schedule:*

Hourly rates for services performed on or after the date of the Agreement are:

<u>CLASSIFICATION</u>	<u>LABOR RATE</u>
Architects	\$115 – \$175/hr.
Clerical	\$ 60 – \$115/hr.
CAD Technician	\$ 95 – \$143/hr.
Geographic Information Systems (GIS)	\$ 75 – \$143/hr.
Housing Administration	\$ 75 – \$120/hr.
Hydrogeologists	\$125 – \$155/hr.
Planners	\$ 85 – \$170/hr.
Principals	\$175 – \$275/hr.
Professional Engineers	\$ 86 – \$190/hr.
Project Manager	\$ 150 – \$238/hr.
Professional Land Surveyors	\$ 87 – \$165/hr.
Staff Engineers	\$ 80 – \$150/hr.
Technicians	\$ 65 – \$128/hr.
Wastewater Treatment Plant Operator	\$ 75 – \$ 95/hr.

\* Labor rates represent an average or range for a particular job classification. These rates are in effect until January 1, 2021.

## **Duties, Responsibilities, and Limitations of Authority of Resident Project Representative**

Article 1 of the Agreement is supplemented to include the following agreement of the parties:

### *D1.01 Resident Project Representative*

- A. Engineer shall furnish a Resident Project Representative (“RPR”) to assist Engineer in observing progress and quality of the Work. The RPR may provide full time representation or may provide representation to a lesser degree.
- B. Through RPR's observations of Contractor's work in progress and field checks of materials and equipment, Engineer shall endeavor to provide further protection for Owner against defects and deficiencies in the Work. However, Engineer shall not, during such RPR field checks or as a result of such RPR observations of Contractor's work in progress, supervise, direct, or have control over Contractor's Work, nor shall Engineer (including the RPR) have authority over or responsibility for the means, methods, techniques, sequences, or procedures of construction selected or used by any contractor, for security or safety at the Site, for safety precautions and programs incident to any contractor's work in progress, or for any failure of a contractor to comply with Laws and Regulations applicable to such contractor's performing and furnishing of its work. The Engineer (including RPR) neither guarantee the performances of any contractor nor assumes responsibility for Contractor's failure to furnish and perform the Work in accordance with the Contract Documents. In addition, the specific terms set forth in Paragraph A1.05 of Exhibit A of the Agreement are applicable.
- C. The duties and responsibilities of the RPR are as follows:
  1. *General:* RPR is Engineer's representative at the Site, will act as directed by and under the supervision of Engineer, and will confer with Engineer regarding RPR's actions. RPR's dealings in matters pertaining to the Contractor's work in progress shall in general be with Engineer and Contractor. RPR's dealings with Subcontractors shall only be through or with the full knowledge and approval of Contractor. RPR shall generally communicate with Owner only with the knowledge of and under the direction of Engineer.
  2. *Schedules:* Review the progress schedule, schedule of Shop Drawing and Sample submittals, and schedule of values prepared by Contractor and consult with Engineer concerning acceptability.
  3. *Conferences and Meetings:* Attend meetings with Contractor, such as preconstruction conferences, progress meetings, job conferences and other project-related meetings, and prepare and circulate copies of minutes thereof.
  4. *Liaison:*

- a. Serve as Engineer's liaison with Contractor. Working principally through Contractor's authorized representative or designee, assist in providing information regarding the intent of the Contract Documents.
  - b. Assist Engineer in serving as Owner's liaison with Contractor when Contractor's operations affect Owner's on-Site operations.
  - c. Assist in obtaining from Owner additional details or information, when required for proper execution of the Work.
5. *Interpretation of Contract Documents:* Report to Engineer when clarifications and interpretations of the Contract Documents are needed and transmit to Contractor clarifications and interpretations as issued by Engineer.
6. *Shop Drawings and Samples:*
- a. Record date of receipt of Samples and approved Shop Drawings.
  - b. Receive Samples which are furnished at the Site by Contractor, and notify Engineer of availability of Samples for examination.
  - c. Advise Engineer and Contractor of the commencement of any portion of the Work requiring a Shop Drawing or Sample submittal for which RPR believes that the submittal has not been approved by Engineer.
7. *Modifications:* Consider and evaluate Contractor's suggestions for modifications in Drawings or Specifications and report such suggestions, together with RPR's recommendations, to Engineer. Transmit to Contractor in writing decisions as issued by Engineer.
8. *Review of Work and Rejection of Defective Work:*
- a. Conduct on-Site observations of Contractor's work in progress to assist Engineer in determining if the Work is in general proceeding in accordance with the Contract Documents.
  - b. Report to Engineer whenever RPR believes that any part of Contractor's work in progress will not produce a completed Project that conforms generally to the Contract Documents or will imperil the integrity of the design concept of the completed Project as a functioning whole as indicated in the Contract Documents, or has been damaged, or does not meet the requirements of any inspection, test or approval required to be made; and advise Engineer of that part of work in progress that RPR believes should be corrected or rejected or should be uncovered for observation, or requires special testing, inspection, or approval.
9. *Inspections, Tests, and System Start-ups:*
- a. Consult with Engineer in advance of scheduled inspections, tests, and systems start-ups.

- b. Verify that tests, equipment, and systems start-ups and operating and maintenance training are conducted in the presence of appropriate Owner's personnel, and that Contractor maintains adequate records thereof.
- c. Observe, record, and report to Engineer appropriate details relative to the test procedures and systems start-ups.
- d. Accompany visiting inspectors representing public or other agencies having jurisdiction over the Project, record the results of these inspections, and report to Engineer.

10. *Records:*

- a. Maintain at the Site orderly files for correspondence, reports of job conferences, reproductions of original Contract Documents including all change orders, field orders, work change directives, addenda, additional Drawings issued subsequent to the execution of the Construction Contract, Engineer's clarifications and interpretations of the Contract Documents, progress reports, Shop Drawing and Sample submittals received from and delivered to Contractor, and other Project-related documents.
- b. Prepare a daily report or keep a diary or log book, recording Contractor's hours on the Site, weather conditions, data relative to questions of change orders, field orders, work change directives, or changed conditions, Site visitors, daily activities, decisions, observations in general, and specific observations in more detail as in the case of observing test procedures; and send copies to Engineer.
- c. Record names, addresses, fax numbers, e-mail addresses, web site locations, and telephone numbers of all Contractors, Subcontractors, and major Suppliers of materials and equipment.
- d. Maintain records for use in preparing Project documentation.
- e. Upon completion of the Work, furnish original set of all RPR Project documentation to Engineer.

11. *Reports:*

- a. Furnish to Engineer periodic reports as required of progress of the Work and of Contractor's compliance with the progress schedule and schedule of Shop Drawing and Sample submittals.
- b. Draft and recommend to Engineer proposed change orders, work change directives, and field orders. Obtain backup material from Contractor.
- c. Furnish to Engineer and Owner copies of all inspection, test, and system start-up reports.
- d. Immediately notify Engineer of the occurrence of any Site accidents, emergencies, acts of God endangering the Work, damage to property by fire or other causes, or the discovery of any Constituent of Concern.

12. *Payment Requests:* Review applications for payment with Contractor for compliance with the established procedure for their submission and forward with recommendations to

Engineer, noting particularly the relationship of the payment requested to the schedule of values, Work completed, and materials and equipment delivered at the Site but not incorporated in the Work.

13. *Certificates, Operation and Maintenance Manuals:* During the course of the Work, verify that materials and equipment certificates, operation and maintenance manuals and other data required by the Contract Documents to be assembled and furnished by Contractor are applicable to the items actually installed and in accordance with the Contract Documents, and have these documents delivered to Engineer for review and forwarding to Owner prior to payment for that part of the Work.

14. *Completion:*

- a. Participate in visits to the Project to determine Substantial Completion, assist in the determination of Substantial Completion and the preparation of lists of items to be completed or corrected.
- b. Participate in a final visit to the Project in the company of Engineer, Owner, and Contractor, and prepare a final list of items to be completed and deficiencies to be remedied.
- c. Observe whether all items on the final list have been completed or corrected and make recommendations to Engineer concerning acceptance and issuance of the Notice of Acceptability of the Work (Exhibit E).

D. Resident Project Representative shall not:

1. Authorize any deviation from the Contract Documents or substitution of materials or equipment (including "or-equal" items).
2. Exceed limitations of Engineer's authority as set forth in this Agreement.
3. Undertake any of the responsibilities of Contractor, Subcontractors or Suppliers.
4. Advise on, issue directions relative to, or assume control over any aspect of the means, methods, techniques, sequences or procedures of Contractor's work.
5. Advise on, issue directions regarding, or assume control over security or safety practices, precautions, and programs in connection with the activities or operations of Owner or Contractor.
6. Participate in specialized field or laboratory tests or inspections conducted off-site by others except as specifically authorized by Engineer.
7. Accept shop drawing or sample submittals from anyone other than Contractor.
8. Authorize Owner to occupy the Project in whole or in part.

This is **EXHIBIT E**, consisting of 2 pages, referred to in and part of the **Agreement between Owner and Engineer for Professional Services** dated December 7, 2020.

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NOTICE OF ACCEPTABILITY OF WORK

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PROJECT:

OWNER:

CONTRACTOR:

OWNER'S CONSTRUCTION CONTRACT IDENTIFICATION:

EFFECTIVE DATE OF THE CONSTRUCTION CONTRACT:

ENGINEER:

NOTICE DATE:

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To: \_\_\_\_\_  
Owner

And To: \_\_\_\_\_  
Contractor

From: \_\_\_\_\_  
Engineer

The Engineer hereby gives notice to the above Owner and Contractor that the completed Work furnished and performed by Contractor under the above Contract is acceptable, expressly subject to the provisions of the related Contract Documents, the Agreement between Owner and Engineer for Professional Services dated \_\_\_\_\_, \_\_\_\_\_, and the terms and conditions set forth in this Notice.

By: \_\_\_\_\_

Title: \_\_\_\_\_

Dated: \_\_\_\_\_

## **CONDITIONS OF NOTICE OF ACCEPTABILITY OF WORK**

The Notice of Acceptability of Work (“Notice”) is expressly made subject to the following terms and conditions to which all those who receive said Notice and rely thereon agree:

9. This Notice is given with the skill and care ordinarily used by members of the engineering profession practicing under similar conditions at the same time and in the same locality.
10. This Notice reflects and is an expression of the professional judgment of Engineer.
11. This Notice is given as to the best of Engineer’s knowledge, information, and belief as of the Notice Date.
12. This Notice is based entirely on and expressly limited by the scope of services Engineer has been employed by Owner to perform or furnish during construction of the Project (including observation of the Contractor’s work) under Engineer’s Agreement with Owner and under the Construction Contract referred to in this Notice, and applies only to facts that are within Engineer’s knowledge or could reasonably have been ascertained by Engineer as a result of carrying out the responsibilities specifically assigned to Engineer under such Agreement and Construction Contract.
13. This Notice is not a guarantee or warranty of Contractor’s performance under the Construction Contract referred to in this Notice, nor an assumption of responsibility for any failure of Contractor to furnish and perform the Work thereunder in accordance with the Contract Documents.

This is **EXHIBIT G**, consisting of 2 pages, referred to in and part of the **Agreement between Owner and Engineer for Professional Services** dated December 7, 2020.

## **Insurance**

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Paragraph 6.04 of the Agreement is supplemented to include the following agreement of the parties.

### G6.04 *Insurance*

A. The limits of liability for the insurance required by Paragraph 6.04.A and 6.04.B of the Agreement are as follows:

1. By Engineer:

- |  |             |
|--|-------------|
| a. Workers' Compensation:  | Statutory   |
| b. Employer's Liability --   |             |
| 1) Each Accident:  | \$1,000,000 |
| 2) Disease, Policy Limit:  | \$1,000,000 |
| 3) Disease, Each Employee:   | \$1,000,000 |
| c. General Liability --  |             |
| 1) Each Occurrence (Bodily Injury and Property Damage):                              | \$1,000,000 |
| 2) General Aggregate:  | \$2,000,000 |
| d. Excess or Umbrella Liability --   |             |
| 1) Each Occurrence:  | \$6,500,000 |
| 2) General Aggregate:  | \$6,500,000 |
| e. Automobile Liability --Combined Single Limit (Bodily Injury and Property Damage): |             |
| Each Accident  | \$1,000,000 |
| f. Professional Liability --   |             |
| 1) Each Claim Made   | \$6,500,000 |
| 2) Annual Aggregate  | \$6,500,000 |
| g. Other (specify):  | \$ _____    |

2. By Owner:

- |                           |           |
|---------------------------|-----------|
| a. Workers' Compensation: | Statutory |
|---------------------------|-----------|

- b. Employer's Liability --
  - 1) Each Accident \$1,000,000
  - 2) Disease, Policy Limit \$1,000,000
  - 3) Disease, Each Employee \$1,000,000
  
- c. General Liability --
  - 1) General Aggregate: \$1,000,000
  - 2) Each Occurrence (Bodily Injury and Property Damage): \$1,000,000
  
- d. Excess Umbrella Liability --
  - 1) Each Occurrence: \$2,000,000
  - 2) General Aggregate: \$2,000,000
  
- e. Automobile Liability --Combined Single Limit (Bodily Injury and Property Damage):
  - 1) Each Accident: \$1,000,000
  
- f. Other (specify): \$ \_\_\_\_\_

B. *Additional Insureds:*

1. The following persons or entities are to be listed on Owner's general liability policies of insurance as additional insureds, and on any applicable property insurance policy as loss payees, as provided in Paragraph 6.04.B:
  - a. MSA Professional Services  
Engineer
  
  - b. \_\_\_\_\_  
Engineer's Consultant
  
  - c. \_\_\_\_\_  
Engineer's Consultant
  
2. During the term of this Agreement the Engineer shall notify Owner of any other Consultant to be listed as an additional insured on Owner's general liability and property policies of insurance.
  
3. The Owner shall be listed on Engineer's general liability policy as provided in Paragraph 6.04.A.

This is **EXHIBIT H**, consisting of 1 pages, referred to in and part of the **Agreement between Owner and Engineer for Professional Services** dated December 7, 2020.

## **Dispute Resolution**

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Paragraph 6.08 of the Agreement is amended and supplemented to include the following agreement of the parties:

### H6.08 *Dispute Resolution*

- A. *Mediation*: Owner and Engineer agree that they shall first submit any and all unsettled claims, counterclaims, disputes, and other matters in question between them arising out of or relating to this Agreement or the breach thereof (“Disputes”) to mediation in accordance with the Construction Industry Mediation Rules of the American Arbitration Association. Owner and Engineer agree to participate in the mediation process in good faith. The process shall be conducted on a confidential basis, and shall be completed within 120 days. If such mediation is unsuccessful in resolving a Dispute, then (1) the parties may mutually agree to a dispute resolution of their choice, or (2) either party may seek to have the Dispute resolved by a court of competent jurisdiction.

This is **EXHIBIT I**, consisting of 1 pages, referred to in and part of the **Agreement between Owner and Engineer for Professional Services** dated December 7, 2020.

## **Limitations of Liability**

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Paragraph 6.10 of the Agreement is supplemented to include the following agreement of the parties:

A. *Limitation of Engineer's Liability*

1. *Engineer's Liability Limited to Amount of Insurance Proceeds:* Engineer shall procure and maintain insurance as required by and set forth in Exhibit G to this Agreement. Notwithstanding any other provision of this Agreement, and to the fullest extent permitted by law, the total liability, in the aggregate, of Engineer and Engineer's officers, directors, members, partners, agents, employees, and Consultants to Owner and anyone claiming by, through, or under Owner for any and all claims, losses, costs, or damages whatsoever arising out of, resulting from, or in any way related to the Project or the Agreement from any cause or causes, including but not limited to the negligence, professional errors or omissions, strict liability, breach of contract, indemnity obligations, or warranty express or implied, of Engineer or Engineer's officers, directors, members, partners, agents, employees, or Consultants (hereafter "Owner's Claims"), shall not exceed the total insurance proceeds paid on behalf of or to Engineer by Engineer's insurers in settlement or satisfaction of Owner's Claims under the terms and conditions of Engineer's insurance policies applicable thereto (excluding fees, costs and expenses of investigation, claims adjustment, defense, and appeal).

This is **EXHIBIT J**, consisting of 1 pages, referred to in and part of the **Agreement between Owner and Engineer for Professional Services** dated December 7, 2020

### **Special Provisions**

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Paragraph(s) \_\_\_\_ of the Agreement is/are amended to include the following agreement(s) of the parties:

This is **EXHIBIT K**, consisting of 2 pages, referred to in and part of the **Agreement between Owner and Engineer for Professional Services** dated December 7, 2020.

**AMENDMENT TO OWNER-ENGINEER AGREEMENT**  
**Amendment No. \_\_\_\_\_**

1. *Background Data:*

Effective Date of Owner-Engineer Agreement: \_\_\_\_\_

b. Owner: City of Elk Run Heights, City of Raymond

c. Engineer: MSA Professional Services

d. Project: WWTF Improvement Project

2. *Description of Modifications:*

- a. Engineer shall perform or furnish the following Additional Services:
- b. The Scope of Services currently authorized to be performed by Engineer in accordance with the Agreement and previous amendments, if any, is modified as follows:
- c. The responsibilities of Owner are modified as follows:
- d. For the Additional Services or the modifications to services set forth above, Owner shall pay Engineer the following additional or modified compensation:
- e. The schedule for rendering services is modified as follows:
- f. Other portions of the Agreement (including previous amendments, if any) are modified as follows:

5. Agreement Summary (Reference only)

- a. Original Agreement amount: \$ \_\_\_\_\_
- b. Net change for prior amendments: \$ \_\_\_\_\_
- c. This amendment amount: \$ \_\_\_\_\_
- d. Adjusted Agreement amount: \$ \_\_\_\_\_

The foregoing Agreement Summary is for reference only and does not alter the terms of the Agreement, including those set forth in Exhibit C.

Owner and Engineer hereby agree to modify the above-referenced Agreement as set forth in this Amendment. All provisions of the Agreement not modified by this or previous Amendments remain in effect. The Effective Date of this Amendment is December 7, 2020.

OWNER:

ENGINEER:

\_\_\_\_\_  
By: \_\_\_\_\_

\_\_\_\_\_  
By: Jason Miller, PE

Title: \_\_\_\_\_

Title: Vice President

Date  
Signed: \_\_\_\_\_

Date Signed: \_\_\_\_\_

**BUDGET WORKSHEET**  
**CALENDAR 1/2021, FISCAL 7/2021**

ACCOUNT NUMBER ACCOUNT TITLE	2 YRS AGO EXP	LYR EXPENSE	CURRENT BUDGET	EXPENDED YTD	NEW BUDGET
001-110-6413 EVANSDALE POLICE DEPARTMENT	41,387.00	46,721.00	48,123.00	36,092.25	49,567.00
	-----	-----	-----	-----	-----
POLICE DEPARTMENT TOTAL	41,387.00	46,721.00	48,123.00	36,092.25	49,567.00
001-130-6413 BH CO EMERGENCY MGMT/NIRG	2,196.85	2,053.83	2,200.00	2,075.65	2,275.00
	-----	-----	-----	-----	-----
EMERGENCY MANAGEMENT TOTAL	2,196.85	2,053.83	2,200.00	2,075.65	2,275.00
001-150-6499 FIRE CONTRACT	34,910.98	36,659.96	39,000.00	28,332.72	40,170.00
	-----	-----	-----	-----	-----
FIRE DEPARTMENT TOTAL	34,910.98	36,659.96	39,000.00	28,332.72	40,170.00
001-170-6010 WAGES - BUILDING INSPECTOR	10,285.27	7,328.89	12,750.00	9,723.27	13,000.00
001-170-6110 FICA - BUILDING INSPECTIONS	786.80	560.67	975.00	743.82	1,112.00
001-170-6130 IPERS - BUILDING INSPECTIONS	970.90	691.87	1,210.00	917.88	1,228.00
001-170-6150 GROUP INSURANCE	.00	.00	.00	.00	.00
001-170-6151 VISION INSURANCE	.00	.00	.00	.00	.00
001-170-6155 LIFE INSURANCE	.00	.00	.00	.00	.00
001-170-6160 WORKER'S COMP	.00	431.00	.00	.00	450.00
001-170-6170 UNEMPLOYMENT COMPENSATION	.00	.00	.00	.00	.00
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BUILDING INSPECTIONS TOTAL	12,042.97	9,012.43	14,935.00	11,384.97	15,790.00

**BUDGET WORKSHEET**  
**CALENDAR 1/2021, FISCAL 7/2021**

ACCOUNT NUMBER ACCOUNT TITLE	2 YRS AGO EXP	LYR EXPENSE	CURRENT BUDGET	EXPENDED YTD	NEW BUDGET
001-190-6413 HUMANE SOCIETY	360.00	150.00	.00	.00	.00
001-190-6499 OTHER CONTRACTUAL SERV	.00	.00	2,284.00	.00	2,284.00
001-190-6507 OPERATING SUPPLIES	151.13	64.50	75.00	64.50	75.00
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ANIMAL CONTROL TOTAL	511.13	214.50	2,359.00	64.50	2,359.00
001-199-6371 SENTRY LIGHTS	1,169.24	1,269.60	1,300.00	780.54	1,300.00
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OTHER PUBLIC SAFETY TOTAL	1,169.24	1,269.60	1,300.00	780.54	1,300.00
005-210-6723 CAP OUTLAY-HEAVY EQUIP	.00	54,414.41	.00	.00	.00
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ROADS, BRIDGES, SIDEWALKS TOTAL	.00	54,414.41	.00	.00	.00
001-299-6710 CAP OUTLAY - VEHICLES	400.00	.00	.00	.00	.00
-----	-----	-----	-----	-----	-----
OTHER PUBLIC WORKS TOTAL	400.00	.00	.00	.00	.00
001-410-6413 LIBRARY CONTRACT	10,656.18	10,656.20	11,420.00	5,328.09	11,765.00
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LIBRARY SERVICES TOTAL	10,656.18	10,656.20	11,420.00	5,328.09	11,765.00
001-430-6010 WAGES - PARKS - FT	12,823.47	15,020.27	14,500.00	8,550.11	14,935.00
001-430-6020 WAGES - PARKS	3,376.23	1,937.02	.00	.00	.00

**BUDGET WORKSHEET**  
**CALENDAR 1/2021, FISCAL 7/2021**

ACCOUNT NUMBER ACCOUNT TITLE	2 YRS AGO EXP	LYR EXPENSE	CURRENT BUDGET	EXPENDED YTD	NEW BUDGET
001-430-6021 WAGES - PARKS - PT	3,224.30	1,241.08	4,200.00	987.48	4,200.00
001-430-6110 FICA - PARKS	1,463.90	1,376.51	1,430.00	694.69	1,473.00
001-430-6130 IPERS - PARKS	1,821.26	1,712.21	1,800.00	873.12	1,854.00
001-430-6150 GROUP INSURANCE	3,407.01	1,778.80-	4,200.00	2,515.17	4,326.00
001-430-6151 VISION INSURANCE	31.80	24.91	35.00	28.56	37.00
001-430-6155 LIFE INSURANCE	2.77	.00	100.00	8.63	103.00
001-430-6160 WORKER'S COMP	.00	1,389.00	.00	.00	1,400.00
001-430-6170 UNEMPLOYMENT COMPENSATION	.00	336.70	.00	.00	.00
001-430-6310 BLDNG/GROUNDS MAINT & REPAIR	.00	.00	.00	475.00	2,500.00
001-430-6331 FUEL AND OIL	.00	100.00	.00	.00	100.00
001-430-6499 OTHER CONTRACTUAL SERV	.00	1,859.03	.00	1.00	.00
001-430-6505 PARK EQUIPMENT	4,821.61	6,673.00	.00	.00	.00
001-430-6507 OPERATING SUPPLIES	8,151.79	2,767.83	5,000.00	1,121.76	5,000.00
001-430-6599 OTHER SUPPLIES	1.00	121.74	.00	.00	.00
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PARKS TOTAL	39,125.14	32,780.50	31,265.00	15,255.52	35,928.00
001-460-6310 COMM RM REPAIR/MAINT	2,142.84	2,105.57	2,100.00	1,153.11	2,100.00

**BUDGET WORKSHEET**  
**CALENDAR 1/2021, FISCAL 7/2021**

ACCOUNT NUMBER ACCOUNT TITLE	2 YRS AGO EXP	LYR EXPENSE	CURRENT BUDGET	EXPENDED YTD	NEW BUDGET
001-460-6371 UTILITIES	.00	.00	.00	62.18	750.00
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COMMUNITY CTR,ZOO,MARINA TOTA	2,142.84	2,105.57	2,100.00	1,215.29	2,850.00
001-499-6599 MISC EXPENSE - CELEBRATION	.00	.00	5,000.00	15.01	10,000.00
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OTHER CULTURE/RECREATION TOTA	.00	.00	5,000.00	15.01	10,000.00
001-510-6413 PARTNER IN EDUCATION	200.00	200.00	500.00	.00	500.00
001-510-6490 CHRISTMAS DECORATIONS	1,687.64	366.11	1,500.00	27.67	1,500.00
001-510-6599 TREES FOREVER	.00	4,528.00	.00	.00	.00
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COMMUNITY BEAUTIFICATION TOTA	1,887.64	5,094.11	2,000.00	27.67	2,000.00
001-540-6490 COMP PLAN	.00	.00	.00	.00	.00
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PLANNING & ZONING TOTAL	.00	.00	.00	.00	.00
001-610-6010 WAGES - MAYOR/COUNCIL	8,700.00	9,750.00	10,200.00	7,125.00	10,200.00
001-610-6110 FICA - MAYOR/COUNCIL	665.57	668.39	790.00	390.08	790.00
001-610-6130 IPERS - MAYOR/COUNCIL	.00	118.00	472.00	236.00	472.00
001-610-6150 GROUP INSURANCE	.00	.00	.00	.00	.00

**BUDGET WORKSHEET**  
**CALENDAR 1/2021, FISCAL 7/2021**

ACCOUNT NUMBER ACCOUNT TITLE	2 YRS AGO EXP	LYR EXPENSE	CURRENT BUDGET	EXPENDED YTD	NEW BUDGET
001-610-6151 VISION INSURANCE	.00	.00	.00	.00	.00
001-610-6160 WORKER'S COMP	.00	78.00	.00	.00	.00
001-610-6170 UNEMPLOYMENT COMPENSATION	.00	.00	.00	.00	.00
001-610-6240 MEETING/CONFERENCES	.00	.00	.00	.00	500.00
001-610-6373 TELEPHONE/COMMUNICATIONS	.00	.00	.00	80.00	480.00
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MAYOR, COUNCIL/CITY MGR TOTAL	9,365.57	10,614.39	11,462.00	7,831.08	12,442.00
001-620-6010 WAGES - CLERK	43,039.76	41,351.71	40,860.00	21,913.12	43,119.00
001-620-6020 WAGES - DEPUTY CLERK	3,931.60	6,463.18	8,000.00	4,382.64	8,472.00
001-620-6110 FICA - CLERK	3,588.72	3,482.55	3,750.00	2,156.08	4,097.00
001-620-6130 IPERS - CLERK	3,794.91	4,324.95	4,620.00	2,660.52	4,759.00
001-620-6150 GROUP INSURANCE	2,820.63	5,896.40	15,000.00	1,081.10	6,052.00
001-620-6151 VISION INSURANCE	36.84	157.69	150.00	163.34	242.00
001-620-6160 WORKER'S COMP	.00	200.00	.00	.00	200.00
001-620-6170 UNEMPLOYMENT COMPENSATION	.00	10,927.80	.00	.00	.00
001-620-6210 DUES	.00	.00	.00	.00	250.00
001-620-6230 TRAINING	.00	.00	.00	125.00	2,500.00

**BUDGET WORKSHEET**  
**CALENDAR 1/2021, FISCAL 7/2021**

ACCOUNT NUMBER ACCOUNT TITLE	2 YRS AGO EXP	LYR EXPENSE	CURRENT BUDGET	EXPENDED YTD	NEW BUDGET
001-620-6240 TRAVEL EXPENSE	.00	.00	.00	195.50	1,100.00
001-620-6373 TELEPHONE/COMMUNICATIONS	.00	.00	.00	80.00	480.00
001-620-6507 OPERATING SUPPLIES	.00	59.90	.00	369.08	.00
-----	-----	-----	-----	-----	-----
CLERK, TREASURER, FINANCE TOTA	57,212.46	72,864.18	72,380.00	33,126.38	71,271.00
001-630-6413 ELECTION EXPENSES	.00	500.00	.00	.00	.00
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ELECTIONS TOTAL	.00	500.00	.00	.00	.00
001-640-6401 AUDITING/ACCOUNTING	3,825.00	17,730.93	17,000.00	1,165.50	10,000.00
001-640-6411 LEGAL - ATTORNEY	9,722.10	18,308.55	15,000.00	13,901.16	15,000.00
001-640-6414 CODIFICATION	1,515.40	1,500.00	4,500.00	.00	.00
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LEGAL SERVICE/ATTORNEY TOTAL	15,062.50	37,539.48	36,500.00	15,066.66	25,000.00
001-650-6010 WAGES - FULL TIME	.00	.00	.00	.00	.00
001-650-6110 FICA	.00	.00	.00	.00	.00
001-650-6130 IPERS	.00	.00	.00	.00	.00
001-650-6150 GROUP INSURANCE	.00	.00	.00	.00	.00
001-650-6151 VISION INSURANCE	.00	.00	.00	.00	.00

**BUDGET WORKSHEET**  
**CALENDAR 1/2021, FISCAL 7/2021**

ACCOUNT NUMBER ACCOUNT TITLE	2 YRS AGO EXP	LYR EXPENSE	CURRENT BUDGET	EXPENDED YTD	NEW BUDGET
001-650-6155 LIFE INSURANCE	.00	.00	.00	.00	.00
001-650-6310 BUILDING REPAIR/MAINT	3,816.40	3,032.21	2,700.00	930.72	2,700.00
001-650-6371 UTILITIES	.00	.00	.00	.00	2,300.00
001-650-6499 OTHER CONTRACTUAL SERV	.00	.00	.00	.00	1,980.00
001-650-6505 OFFICE EQUIPMENT	85.00	5,121.26	.00	.00	.00
001-650-6506 OFFICE SUPPLIES	.00	.00	.00	.00	2,000.00
001-650-6507 OPERATING SUPPLIES	.00	542.75	.00	.00	500.00
001-650-6508 POSTAGE	.00	.00	.00	.00	.00
001-650-6599 OTHER SUPPLIES	.00	.00	.00	.00	2,500.00
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CITY HALL, GENERAL BLDGS TOTA	3,901.40	8,696.22	2,700.00	930.72	11,980.00
001-660-6408 INSURANCE	6,804.89	16,530.89	15,000.00	.00	15,000.00
	-----	-----	-----	-----	-----
TORT LIABILITY TOTAL	6,804.89	16,530.89	15,000.00	.00	15,000.00
001-699-6010 WAGES - GENERAL GOV - FT	2,137.23	1,832.87	2,040.00	1,221.40	2,415.00
001-699-6110 FICA - GENERAL GOVERNMENT	159.76	175.43	184.00	91.59	207.00
001-699-6130 IPERS - GENERAL GOVERNMENT	201.80	220.85	230.00	115.26	230.00
001-699-6150 GROUP INSURANCE	728.98	10,034.76	10,800.00	5,823.71	7,772.00

**BUDGET WORKSHEET**  
**CALENDAR 1/2021, FISCAL 7/2021**

ACCOUNT NUMBER ACCOUNT TITLE	2 YRS AGO EXP	LYR EXPENSE	CURRENT BUDGET	EXPENDED YTD	NEW BUDGET
001-699-6151 VISION INSURANCE	4.08	3.40	5.00	4.12	10.00
001-699-6155 LIFE INSURANCE	23.07	.00	50.00	51.49	50.00
001-699-6210 DUES	1,462.16	1,515.50	2,000.00	2,069.67	2,800.00
001-699-6230 TRAINING	973.16	3,094.06	3,000.00	897.00	500.00
001-699-6240 MEETINGS/CONFERENCES	2,947.85	2,356.93	2,500.00	351.60	.00
001-699-6371 UTILITIES	2,106.42	2,137.41	2,200.00	1,517.22	.00
001-699-6373 TELEPHONE/COMMUNICATIONS	1,548.92	1,053.29	1,200.00	763.79	1,200.00
001-699-6407 ENGINEERING (MONTHLY PAYMENT)	3,300.00	1,875.00	900.00	1,125.00	900.00
001-699-6414 PUBLISHING	2,322.06	3,347.79	2,500.00	1,732.13	2,500.00
001-699-6419 TECHNOLOGY SERVICES	6,240.98	12,770.36	10,000.00	9,747.20	10,500.00
001-699-6491 SAFETY TRAINING	.00	683.34	600.00	.00	550.00
001-699-6499 CONTRACTUAL SERV (COPIER)	1,073.56	1,050.05	1,200.00	531.53	.00
001-699-6505 OTHER EQUIPMENT	.00	.00	.00	.00	.00
001-699-6506 OFFICE SUPPLIES	1,356.53	3,206.39	2,000.00	935.82	.00
001-699-6508 POSTAGE	454.65	472.12	1,000.00	766.85	2,000.00
001-699-6599 MISC SUPPLIES	5,335.07	364.26	5,000.00	869.28	.00
001-699-6725 CAP OUTLAY-OFFICE EQUIP	.00	.00	.00	.00	.00
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BUDGET WORKSHEET  
CALENDAR 1/2021, FISCAL 7/2021

ACCOUNT NUMBER ACCOUNT TITLE	2 YRS AGO EXP	LYR EXPENSE	CURRENT BUDGET	EXPENDED YTD	NEW BUDGET
OTHER GENERAL GOVERNMENT TOTA	32,376.28	46,193.81	47,409.00	28,614.66	31,634.00
001-910-6910 TRANSFER OUT	60,250.00	75,000.00	185,250.00	147,698.52	.00
50,000 TO 307					
250 TO 303					
10,000 005					
125,000 TO 306					
005-910-6910 TRANSFER OUT	.00	10,000.00	.00	.00	.00
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TRANSFER OUT TOTAL	60,250.00	85,000.00	185,250.00	147,698.52	.00
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GENERAL TOTAL	331,403.07	478,921.08	530,403.00	333,840.23	341,331.00
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110-210-6010 WAGES - ROAD USE - FT	23,509.71	26,672.41	27,000.00	14,411.83	27,810.00
110-210-6020 WAGES - ROAD USE	3,376.37	1,937.06	.00	.00	.00
110-210-6030 WAGES - ROAD USE - PT	4,685.49	2,663.04	6,000.00	664.92	.00
110-210-6110 FICA - ROAD USE	2,374.90	2,362.56	2,525.00	1,128.07	2,405.00
110-210-6130 IPERS - ROAD USE	2,967.86	2,949.78	3,115.00	1,419.21	2,625.00
110-210-6150 GROUP INSURANCE	6,097.75	5,885.87	7,500.00	4,348.04	8,085.00
110-210-6151 VISION INSURANCE	52.20	41.91	60.00	48.98	75.00
110-210-6155 LIFE INSURANCE	7.71	.00	.00	14.79	.00

**BUDGET WORKSHEET**  
**CALENDAR 1/2021, FISCAL 7/2021**

ACCOUNT NUMBER ACCOUNT TITLE	2 YRS AGO EXP	LYR EXPENSE	CURRENT BUDGET	EXPENDED YTD	NEW BUDGET
110-210-6160 WORKER'S COMP	.00	3,369.00	.00	.00	3,400.00
110-210-6170 UNEMPLOYMENT COMPENSATION	.00	336.70	.00	.00	.00
110-210-6181 UNIFORM ALLOWANCE	348.00	161.99	300.00	89.88	300.00
110-210-6310 BUILDING REPAIR/MAINT	1,631.90	1,279.59	5,000.00	1,304.55	5,000.00
110-210-6331 FUEL & OIL	4,284.86	3,183.93	4,000.00	1,556.56	4,000.00
110-210-6332 VEHICLE REPAIRS	6,341.70	3,177.29	5,000.00	117.34	5,000.00
110-210-6371 UTILITIES	1,678.27	1,164.13	1,700.00	492.62	1,700.00
110-210-6373 TELEPHONE/COMMUNICATIONS	480.00	480.00	480.00	280.00	480.00
110-210-6375 EQUIPMENT SUPPORT	.00	.00	15,600.00	15,869.58	.00
110-210-6407 ENGINEERING	.00	.00	.00	192.60	250.00
110-210-6408 INSURANCE	2,000.00	.00	4,000.00	.00	2,000.00
110-210-6417 STREET MAINTENANCE - REPAIRS	9,675.87	19,941.16	10,000.00	2,272.80	.00
110-210-6491 SAFETY TRAINING	.00	683.34	1,000.00	.00	1,650.00
110-210-6504 SHOP EQUIPMENT	1,294.26	17,560.98	1,000.00	27.98	2,500.00
110-210-6507 STREET MAINTENANCE SUPPLIES	7,797.77	13,310.20	10,000.00	9,251.02	10,000.00
110-210-6511 NEW POSTS / SIGNS	.00	.00	.00	.00	250.00
110-210-6512 REPLACEMENT POSTS / SIGNS	.00	198.00	.00	.00	250.00

**BUDGET WORKSHEET**  
**CALENDAR 1/2021, FISCAL 7/2021**

ACCOUNT NUMBER ACCOUNT TITLE	2 YRS AGO EXP	LYR EXPENSE	CURRENT BUDGET	EXPENDED YTD	NEW BUDGET
110-210-6599 MISCELLANEOUS SUPPLIES	186.00	583.86	100.00	341.13	500.00
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ROADS, BRIDGES, SIDEWALKS TOTAL	78,790.62	107,942.80	104,380.00	53,831.90	78,280.00
110-230-6371 STREET LIGHTS	21,248.88	30,866.29	11,000.00	6,577.64	15,000.00
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STREET LIGHTING TOTAL	21,248.88	30,866.29	11,000.00	6,577.64	15,000.00
110-240-6371 TRAFFIC SIGNAL	401.92	523.95	450.00	502.93	1,200.00
110-240-6413 WATERLOO MONTHLY TRAFFIC CONTR	2,707.58	3,391.48	2,800.00	118.11	2,800.00
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TRAFFIC CONTROL/SAFETY TOTAL	3,109.50	3,915.43	3,250.00	621.04	4,000.00
110-250-6332 SNOW PLOW REPAIR	1,057.75	1,271.60	1,000.00	59.27	1,000.00
110-250-6489 OTHER CONTRACTUAL SRV	.00	.00	.00	131.00	130.00
110-250-6507 SNOW REMOVAL SUPPLIES	7,633.77	9,001.01	10,000.00	3,028.07	10,000.00
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SNOW REMOVAL TOTAL	8,691.52	10,272.61	11,000.00	3,218.34	11,130.00
110-260-6407 ENGINEERING	10,265.11	4,612.05	10,000.00	1,375.00	10,000.00
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HIGHWAY ENGINEERING TOTAL	10,265.11	4,612.05	10,000.00	1,375.00	10,000.00
110-910-6910 TRANSFER OUT	.00	10,012.18	.00	.00	.00

**BUDGET WORKSHEET**  
**CALENDAR 1/2021, FISCAL 7/2021**

ACCOUNT NUMBER ACCOUNT TITLE	2 YRS AGO EXP	LYR EXPENSE	CURRENT BUDGET	EXPENDED YTD	NEW BUDGET
121-910-6910 TRANSFER OUT	175,000.00	190,763.38	140,000.00	207,820.89	.00
50,000 TO 613 90,000 TO 307					
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TRANSFER OUT TOTAL	175,000.00	200,775.56	140,000.00	207,820.89	.00
110-910-6911 TRANSFER OUT - TIF	.00	8,532.99	.00	.00	.00
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INTERNAL TIF LOAN/TRANSFE TOTA	.00	8,532.99	.00	.00	.00
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SPECIAL REVENUES TOTAL	297,105.63	366,917.73	279,630.00	273,444.81	118,410.00
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125-910-6911 TRANSFER OUT - TIF	.00	30,337.00	34,856.00	.00	.00
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INTERNAL TIF LOAN/TRANSFE TOTA	.00	30,337.00	34,856.00	.00	.00
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TIF TOTAL	.00	30,337.00	34,856.00	.00	.00
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200-210-6801 PRINCIPAL - PLAZA DRIVE	.00	.00	.00	.00	.00
200-210-6851 INTEREST - PLAZA DRIVE	.00	.00	.00	.00	.00
200-210-6899 BOND REGISTRATION FEES	.00	.00	.00	.00	.00
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DEBT SERVICE TOTAL	.00	.00	.00	.00	.00

**BUDGET WORKSHEET**  
**CALENDAR 1/2021, FISCAL 7/2021**

ACCOUNT NUMBER ACCOUNT TITLE	2 YRS AGO EXP	LYR EXPENSE	CURRENT BUDGET	EXPENDED YTD	NEW BUDGET
200-910-6910 TRANSFER OUT	.00	.00	.00	.00	.00
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TRANSFER OUT TOTAL	.00	.00	.00	.00	.00
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DEBT SERVICE TOTAL	.00	.00	.00	.00	.00
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300-210-6407 ENGINEERING - STREET PROJECT	66,140.00	.00	.00	.00	.00
300-210-6499 STREET CONTRACTUAL SERV	44,680.00	106,427.48	50,000.00	18,966.87	.00
300-750-6499 CONTRACTUAL SERV	.00	.00	.00	.00	.00
301-750-6407 ENGINEERING	.00	.00	.00	.00	.00
301-750-6411 LEGAL	.00	.00	.00	.00	.00
301-750-6499 CONTRACTUAL SERV-TRAILER COURT	4,339.71	17,320.00	.00	.00	.00
302-750-6407 ENGINEERING	.00	.00	.00	.00	.00
302-750-6411 LEGAL	.00	.00	.00	.00	.00
302-750-6499 CONTRACTUAL SERV - PLAZA DR	21,181.00	.00	.00	.00	.00
304-210-6413 PAYMENTS - EVANSDALE	.00	.00	.00	.00	.00
305-750-6407 ENGINEERING	.00	.00	.00	.00	.00
305-750-6499 OTHER CONTRACTUAL SERV	.00	.00	.00	.00	.00

**BUDGET WORKSHEET**  
**CALENDAR 1/2021, FISCAL 7/2021**

ACCOUNT NUMBER ACCOUNT TITLE	2 YRS AGO EXP	LYR EXPENSE	CURRENT BUDGET	EXPENDED YTD	NEW BUDGET
306-430-6407 ENGINEERING	.00	24,783.16	20,000.00	4,735.64	.00
306-430-6411 LEGAL	.00	1,500.00	.00	.00	.00
306-430-6499 OTHER CONTRACTUAL SERV	.00	.00	618,000.00	6,334.79	.00
306-430-6505 OTHER EQUIPMENT	.00	.00	.00	.00	.00
306-430-6507 OPERATING SUPPLIES	.00	.00	.00	.00	.00
306-430-6910 TRANSFER OUT	.00	.00	.00	.00	.00
307-210-6407 ENGINEERING	.00	.00	.00	.00	.00
307-210-6411 LEGAL	.00	.00	.00	.00	.00
307-210-6417 STREET MAINTENANCE	.00	.00	.00	.00	.00
307-210-6499 OTHER CONTRACTUAL SERV	.00	.00	.00	.00	.00
307-210-6507 OPERATING SUPPLIES	.00	.00	.00	.00	.00
307-210-6761 CAP OUTLAY - STREETS	.00	.00	.00	.00	.00
307-210-6790 STREETS - NEW ROADWAY	.00	.00	.00	.00	.00
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CAPITAL PROJECTS TOTAL	136,340.71	150,030.64	688,000.00	30,037.30	.00
300-910-6910 TRANSFER OUT	.00	.00	.00	64,180.00	.00
301-910-6910 TRANSFER OUT	.00	.00	.00	160,711.12	.00

**BUDGET WORKSHEET**  
**CALENDAR 1/2021, FISCAL 7/2021**

ACCOUNT NUMBER ACCOUNT TITLE	2 YRS AGO EXP	LYR EXPENSE	CURRENT BUDGET	EXPENDED YTD	NEW BUDGET
302-910-6910 TRANSFER OUT	.00	.00	.00	5,319.00	.00
303-910-6910 TRANSFER OUT	.00	.00	.00	.00	.00
304-910-6910 TRANSFER OUT	.00	.00	.00	.00	.00
305-910-6910 TRANSFER OUT	.00	501,705.70	.00	.00	.00
306-910-6910 TRANSFER OUT	.00	.00	.00	.00	.00
307-910-6910 TRANSFER OUT	.00	.00	.00	.00	.00
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TRANSFER OUT TOTAL	.00	501,705.70	.00	230,210.12	.00
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CAPITAL PROJECTS TOTAL	136,340.71	651,736.34	688,000.00	260,247.42	.00
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600-610-6499 OTHER CONTRACTUAL SERV	.00	.00	.00	.00	.00
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MAYOR, COUNCIL/CITY MGR TOTAL	.00	.00	.00	.00	.00
600-810-6010 WAGES - WATER - FT	6,783.88	6,009.82	.00	9.55-	.00
600-810-6011 WAGES - WATER - CLERK	2,356.45	.00	2,270.00	1,017.65	2,396.00
600-810-6020 WAGES - WATER	2,137.23	1,267.84	.00	.00	.00
600-810-6021 WAGES - WATER - PT	3,931.58	6,463.07	8,000.00	4,382.60	8,472.00
600-810-6022 WAGES - WATER	2,112.77	1,449.31	.00	577.20	.00

**BUDGET WORKSHEET**  
**CALENDAR 1/2021, FISCAL 7/2021**

ACCOUNT NUMBER ACCOUNT TITLE	2 YRS AGO EXP	LYR EXPENSE	CURRENT BUDGET	EXPENDED YTD	NEW BUDGET
600-810-6110 FICA - WATER	1,321.52	1,159.89	800.00	433.73	940.00
600-810-6130 IPERS - WATER	1,575.37	1,408.48	1,000.00	535.25	330.00
600-810-6150 GROUP INSURANCE	919.97	1,102.75	800.00	585.99	337.00
600-810-6151 VISION INSURANCE	20.40	14.82	25.00	8.72	14.00
600-810-6155 LIFE INSURANCE	2.77	.00	60.00	2.64	.00
600-810-6160 WORKER'S COMP	.00	637.00	.00	.00	650.00
600-810-6170 UNEMPLOYMENT COMPENSATION	.00	1,280.50	.00	.00	.00
600-810-6230 TRAINING	30.00	210.00	.00	.00	.00
600-810-6332 VEHICLE REPAIRS	.00	.00	.00	.00	.00
600-810-6350 REPAIR MAINT - WATER MAIN	5,938.21	17,992.67	10,000.00	15,274.82	10,000.00
600-810-6373 TELEPHONE/COMMUNICATIONS	585.33	621.15	600.00	251.48	600.00
600-810-6375 EQUIPMENT SUPPORT	2,706.25	62.50	2,500.00	.00	.00
600-810-6413 WATER - WATERLOO	53,424.32	54,820.49	61,000.00	35,349.02	63,000.00
600-810-6418 SALES TAX EXPENSE	8,156.75	6,007.26	7,500.00	3,941.00	8,000.00
600-810-6419 TECH SERVICES - ANNUAL SUPPORT	.00	65.74	2,150.00	.00	3,000.00
600-810-6489 OTHER CONTRACTUAL SRV	.00	166,042.50	.00	.00	.00
600-810-6490 OTHER PROFESSIONAL SERVICES	49.95	16,469.55	28,180.00	16,545.95	29,000.00

**BUDGET WORKSHEET**  
**CALENDAR 1/2021, FISCAL 7/2021**

ACCOUNT NUMBER ACCOUNT TITLE	2 YRS AGO EXP	LYR EXPENSE	CURRENT BUDGET	EXPENDED YTD	NEW BUDGET
600-810-6497 BANK SERVICE CHGS	10.70	5.35	.00	10.70	.00
600-810-6498 DEPOSIT REFUNDS - WATER	823.00	1,173.28	1,000.00	246.69	1,000.00
600-810-6499 TESTS/PERMITS	1,251.19	1,874.27	.00	337.57	.00
600-810-6505 WT METERS/WT EQUIPMENT	11.61	7,434.86	2,000.00	2,222.36	2,000.00
600-810-6506 OFFICE SUPPLIES	.00	209.58	300.00	89.99	300.00
600-810-6508 POSTAGE	454.65	526.53	200.00	129.57	150.00
600-810-6599 OTHER SUPPLIES	300.01	2,707.87	.00	.00	.00
600-810-6727 CAPITAL EQUIPMENT	21,429.00	.00	.00	.00	.00
601-810-6599 MISC SUPPLIES	.00	.00	.00	.00	.00
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WATER TOTAL	116,332.91	297,017.08	128,385.00	81,933.38	130,189.00
610-815-6010 WAGES - SEWER	54,052.18	32,532.22	.00	4,613.06	.00
610-815-6011 WAGES - SEWER - CLERK	2,356.40	.00	.00	666.76	2,396.00
610-815-6020 WAGES - SEWER	2,137.22	1,267.76	.00	.00	.00
610-815-6030 WAGES - SEWER	5,489.52	4,025.73	.00	.00	.00
610-815-6110 FICA - SEWER	4,894.99	2,890.79	.00	50.97	208.00
610-815-6130 IPERS - SEWER	5,812.03	3,394.01	.00	62.92	227.00

**BUDGET WORKSHEET**  
**CALENDAR 1/2021, FISCAL 7/2021**

ACCOUNT NUMBER ACCOUNT TITLE	2 YRS AGO EXP	LYR EXPENSE	CURRENT BUDGET	EXPENDED YTD	NEW BUDGET
610-815-6150 GROUP INSURANCE	3,510.15	1,449.83	.00	96.33	337.00
610-815-6151 VISION INSURANCE	120.72	46.07	.00	2.02	14.00
610-815-6155 LIFE INSURANCE	19.03	.00	.00	.00	.00
610-815-6160 WORKER'S COMP	.00	975.00	.00	.00	1,000.00
610-815-6170 UNEMPLOYMENT COMPENSATION	.00	5,994.30	.00	.00	.00
610-815-6181 UNIFORM ALLOWANCE	417.01	279.96	.00	.00	.00
610-815-6230 TRAINING	691.74	282.88	.00	.00	.00
610-815-6320 LIFT STATION EXPENSE	2,021.55	29,692.05	30,000.00	33,702.88	40,000.00
610-815-6331 FUEL	2,178.00	968.04	.00	.00	.00
610-815-6332 VEHICLE REPAIRS	104.80	138.99	.00	.00	.00
610-815-6350 PLANT REPAIR/MAINTENANCE	29,850.25	14,573.70	5,000.00	6,357.45	5,000.00
610-815-6370 UTILITIES - LIFT STATION	3,864.84	3,312.79	4,000.00	2,683.72	5,000.00
610-815-6371 UTILITIES - PLANT	11,017.32	11,434.85	12,500.00	7,143.11	15,000.00
610-815-6373 TELEPHONE/COMMUNICATIONS	1,260.62	935.00	.00	.00	.00
610-815-6399 SEWER LINE REPAIR/MAINTENANCE	3,777.72	6,696.45	10,000.00	6,383.75	15,000.00
610-815-6407 ENGINEERING/WWTP PROJECT	5,125.00	300.00	.00	.00	.00
610-815-6408 INSURANCE	3,000.00	3,000.00	3,000.00	.00	3,000.00

**BUDGET WORKSHEET**  
**CALENDAR 1/2021, FISCAL 7/2021**

ACCOUNT NUMBER ACCOUNT TITLE	2 YRS AGO EXP	LYR EXPENSE	CURRENT BUDGET	EXPENDED YTD	NEW BUDGET
610-815-6413 LUMP SUM APPROPRIATIONS	3,101.84	783.85	.00	4,621.22	.00
610-815-6418 SALES TAX EXPENSE	263.36	.00	.00	.00	.00
610-815-6490 IOWA ONE CALL	49.95	89.55	150.00	53.55	150.00
610-815-6494 PLANT CONTRACTED SERVICES	.00	.00	.00	.00	.00
610-815-6491 SAFETY TRAINING	15.08	683.32	.00	.00	.00
610-815-6493 SLUDGE HAULING	16,564.50	12,962.45	15,000.00	6,615.00	15,000.00
610-815-6498 DEPOSIT REFUNDS - SEWER	750.20	1,014.66	1,000.00	52.31	1,000.00
610-815-6499 PEOPLESERVICES/CONTRACTUAL SRV	9,338.50	71,215.00	112,320.00	65,969.60	115,018.00
610-815-6501 TESTS/PERMITS	.00	.00	.00	.00	.00
610-815-6505 OFFICE EQUIPMENT	431.25	62.50	.00	.00	.00
610-815-6506 OFFICE SUPPLIES	328.08	226.55	.00	.00	.00
610-815-6507 OPERATING SPPLY/PEOPLESERVICE	915.17	257.95	15,000.00	.00	3,000.00
610-815-6508 POSTAGE	454.56	224.04	300.00	129.57	150.00
610-815-6510 SAFETY SUPPLIES	107.50	105.50	.00	30.78	.00
610-815-6599 MISC SUPPLIES	382.98	102.00	.00	.00	.00
610-815-6727 CAPITAL EQUIPMENT	.00	.00	.00	.00	.00
610-815-6780 CAP OUTLAY-UTIL SYS/STRCT	.00	.00	.00	.00	.00
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**BUDGET WORKSHEET**  
**CALENDAR 1/2021, FISCAL 7/2021**

ACCOUNT NUMBER ACCOUNT TITLE	2 YRS AGO EXP	LYR EXPENSE	CURRENT BUDGET	EXPENDED YTD	NEW BUDGET
SEWER TOTAL	174,404.06	211,917.79	208,270.00	139,235.00	221,500.00
670-840-6490 GARBAGE COLLECTION	57,457.74	54,470.58	62,000.00	35,677.68	70,000.00
670-840-6492 RECYCLING EXPENSE	6,178.21	5,412.16	6,500.00	3,162.35	6,500.00
670-840-6498 DEPOSIT REFUNDS - GARBAGE	374.00	586.78	700.00	.00	700.00
670-840-6508 POSTAGE	.00	8.38	.00	14.40	.00
671-840-6413 YARD WASTE COLLECTION	2,400.00	2,400.00	2,400.00	2,400.00	2,400.00
671-840-6498 DEPOSIT REFUND - YARD WASTE	71.80	58.68	100.00	40.66	100.00
671-840-6508 POSTAGE	.00	8.39	.00	14.40	30.00
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LANDFILL/GARBAGE TOTAL	66,481.75	62,944.97	71,700.00	41,309.49	79,730.00
613-815-6407 ENGINEERING	.00	103,250.00	248,750.00	103,350.00	.00
613-815-6499 OTHER CONTRACTUAL SERV	.00	95,962.50	.00	2,000.00	.00
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ENTERPRISE CAPITAL PROJ TOTAL	.00	199,212.50	248,750.00	105,350.00	.00
600-910-6910 TRANSFER OUT	.00	.00	.00	.00	.00
601-910-6910 TRANSFER OUT	.00	161,042.50	.00	.00	.00
610-910-6910 TRANSFER OUT	59,000.00	133,137.39	59,000.00	.00	.00

**BUDGET WORKSHEET**  
**CALENDAR 1/2021, FISCAL 7/2021**

ACCOUNT NUMBER ACCOUNT TITLE	2 YRS AGO EXP	LYR EXPENSE	CURRENT BUDGET	EXPENDED YTD	NEW BUDGET
611-910-6910 TRANSFER OUT	.00	.00	.00	.00	.00
612-910-6910 TRANSFER OUT	.00	.00	.00	.00	.00
613-910-6910 TRANSFER OUT	.00	.00	.00	.00	.00
670-910-6910 TRANSFER OUT	.00	.00	.00	.00	.00
671-910-6910 TRANSFER OUT	.00	.00	.00	.00	.00
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TRANSFER OUT TOTAL	59,000.00	294,179.89	59,000.00	.00	.00
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PROPRIETARY TOTAL	416,218.72	1,065,272.23	716,105.00	367,827.87	431,419.00
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*****	*****	*****	*****	*****	*****
TOTAL ALL COLUMNS	1,181,068.13	2,593,184.38	2,248,994.00	1,235,360.33	891,160.00
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